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U.S. Department of Justice Office of Justice Programs Bureau of Justice Statistics



# FY 2023 National Criminal History Improvement Program (NCHIP)

**Assistance Listing Number #** 16.554

Grants.gov Opportunity Number: O-BJS-2023-171664

Solicitation Release Date: March 22, 2023

**Step 1: Application Grants.gov Deadline:** May 24, 2023, 8:59 PM ET

Step 2: Application JustGrants Deadline: May 31, 2023, 8:59 PM ET

#### Overview

The <u>U.S. Department of Justice</u> (DOJ), <u>Office of Justice Programs</u> (OJP), <u>Bureau of Justice Statistics</u> (BJS) seeks applications for funding for the fiscal year (FY) 2023 National Criminal History Improvement Program (NCHIP). This program furthers the DOJ's mission to reduce violent crime and address gun violence by improving the accuracy, utility, and interstate accessibility of criminal-history and related records in support of national record systems and their use for name- and fingerprint-based criminal history background checks.

This solicitation incorporates guidance provided in the <u>OJP Grant Application Resource Guide</u> which provides additional information for applicants to prepare and submit applications to OJP for funding. If this solicitation expressly modifies any provision in the OJP Grant Application Resource Guide, the applicant is to follow the guidelines in this solicitation as to that provision.

#### **Solicitation Categories**

This solicitation does not include Solicitation Categories.

#### **Eligible Applicants:**

Native American tribal governments (Federally recognized), State governments

BJS will consider applications under which two or more entities would carry out the federal award; however, only one entity may be the applicant. Any others must be

proposed as subrecipients (subgrantees). For additional information on subawards, see the OJP Grant Application Resource Guide.

#### Contact Information

For assistance with any other requirements of this solicitation, contact Devon Adams, Deputy Director, Policy Division, by telephone at 202-307-0765, or by email at <a href="mailto:AskBJS@usdoj.gov">AskBJS@usdoj.gov</a> include "FY2023NCHIP" in the subject line.

#### **Submission Information**

Registration: Before submitting an application, all applicants must register with the System for Award Management (SAM). You must renew and validate your registration every 12 months. If you do not renew your SAM registration, it will expire. An expired registration can delay or prevent application submission in Grants.gov and JustGrants. Registration and renewal can take up to 10 business days to complete.

<u>Submission:</u> Applications must be submitted to DOJ electronically through a two-step process via Grants.gov and JustGrants.

<u>Step 1:</u> The applicant must submit by the Grants.gov deadline the required **Application** for Federal Assistance standard form (SF-424) and a Disclosure of Lobbying Activities (SF-LLL) form when they register in Grants.gov at <a href="https://www.grants.gov/web/grants/register.html">https://www.grants.gov/web/grants/register.html</a>. Submit the SF-424 and SF-LLL as early as possible, but no later than 48 hours before the Grants.gov deadline. If an applicant fails to submit in Grants.gov, they will be unable to apply in JustGrants.

For technical assistance with submitting the SF-424 and a SF-LLL in Grants.gov, contact the Grants.gov Customer Support Hotline at 800-518-4726, 606-545-5035, Grants.gov Customer Support, or <a href="mailto:support@grants.gov">support@grants.gov</a>. The Grants.gov Support Hotline operates 24 hours a day, 7 days a week, except on federal holidays.

<u>Step 2</u>: The applicant must submit the **full application**, including attachments, in JustGrants at <u>JustGrants.usdoj.gov</u> by the JustGrants application deadline.

For technical assistance with submitting the **full application** in JustGrants, contact the JustGrants Service Desk at 833-872-5175 or <u>JustGrants.Support@usdoj.gov</u>. The JustGrants Service Desk operates 7 a.m. to 9 p.m. ET Monday–Friday and 9 a.m. to 5 p.m. ET on Saturday, Sunday, and Federal holidays.

OJP encourages applicants to review the "How To Apply" section in the OJP Grant

<u>Application Resource Guide</u> and the <u>JustGrants website</u> for more information, resources, and training. Applicants should maintain all receipts and confirmations received from SAM.gov, Grants.gov, JustGrants systems.

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# **Program Description**

#### Overview

OJP is committed to advancing work that promotes civil rights and racial equity, increases access to justice, supports crime victims and individuals impacted by the justice system, strengthens community safety and protects the public from crime and evolving threats, and builds trust between law enforcement and the community.

The Bureau of Justice Statistics (BJS) is publishing this notice to announce the continuation of the National Criminal History Improvement Program (NCHIP) in fiscal year (FY) 2023, to identify the program priorities, and to provide information on application requirements. This year, BJS is prioritizing the use of funds for two purposes: (1) to improve reporting of dispositions and (2) to improve reporting to the National Instant Criminal Background Check System (NICS) of persons who are prohibited from possessing firearms for reasons related to mental health.

# **Statutory Authority**

34 U.S.C. § 10132(c)(19)

#### **Specific Information**

BJS is authorized to "provide for improvements in the accuracy, quality, timeliness, immediate accessibility, and integration of State and tribal criminal history and related records, support the development and enhancement of national systems of criminal history and related records including the National Instant Criminal Background Check System, the National Incident-Based Reporting System (NIBRS), and the records of the National Crime Information Center (NCIC), facilitate State and tribal participation in national records and information systems, and support statistical research for critical analysis of the improvement and utilization of criminal history records." NCHIP, the NCHIP Supplemental Funding, and the NICS Act Record Improvement Program (NARIP) are means by which BJS provides for such improvements.

#### Goals, Objectives, and Deliverables

As a basic principle of NCHIP, BJS strongly encourages states and tribes to ensure the integrated functioning of record improvement initiatives, regardless of the funding source. Also, BJS urges applicants to match or leverage the federal funds provided with other resources to the maximum extent possible.

#### Goals

This funding will assist states and tribes with finding ways to make more records

available to NICS, including records in NCIC, criminal history record information available through the Interstate Identification Index (III), and records in the NICS Indices. Therefore, through the funded activities, BJS expects that more records (including improved quality, completeness, and timeliness) will become available in these systems.

#### **Objectives**

An applicant should address the objectives that are relevant to their proposed program/ project in the Goals, Objectives, Deliverables and Timeline web-based form:

- Provide direct financial and technical assistance to states, state courts, and tribes to improve their criminal history record systems and related systems to support background checks, including NICS checks.
- Ensure the infrastructure connects criminal history record systems to the state record repository or appropriate federal agency record system and ensure records are accessible through the Federal Bureau of Investigation (FBI) record systems.
- Provide the training and technical assistance needed to ensure that criminal
  history and related record systems are developed and managed to conform to FBI
  standards and appropriate technologies, while ensuring that contributing agencies
  adhere to the highest standards of practice with respect to privacy and
  confidentiality.
- Use systematic evaluation and standardized performance measurements and statistics to assess progress on improving national record holdings and background check systems.

Further information about the history and accomplishments of NCHIP is available at https://bjs.ojp.gov/programs/national-criminal-history-improvement-program.

Additionally, applicants shall develop or update long-range record improvement plans to assess data quality and completeness and identify gaps in record reporting and availability. These efforts help develop strategies to significantly reduce or eliminate such gaps. The improvement plans should include ongoing research, analysis, data quality auditing, or similar work that may result in quantifiable improvements and facilitate performance monitoring. Applicants may wish to contact the current NCHIP technical assistance provider, SEARCH Group, Inc., to take advantage of a criminal history analytics tool to assist in evaluating the accuracy and completeness of data within their state repositories.

#### **Deliverables**

Improve the automation, quality, completeness, and availability of criminal history information at the state and federal level.

#### **Areas of Focus**

1. Updating and automating case outcomes from courts and prosecutors in state or tribal records and the FBI's Criminal History File

Allowable costs may include the following activities:

- Implementing or upgrading state, local, or tribal court record systems that
  facilitate immediate identification of disposition records, provided that the
  records are made accessible for criminal history record inquiries at the state
  and national levels.
- Implementing improved procedures for capturing criminal history information, including complete arrest reporting and researching missing dispositions, provided that the captured data are subsequently included in relevant state and federal files.
- Capturing complete data from prosecutors and courts on misdemeanor convictions of domestic violence.
- Ensuring that criminal history record information is shared with investigative service providers for national security and other purposes pursuant to 5 U.S.C. § 9101.
- Ensuring, through data analysis on the completeness of criminal history
   records, that records of all criminal events (starting with an arrest or
   indictment) are included in background check files. (e.g. developing analytic
   tools, data dashboards, etc. to assess arrest and disposition reporting from
   agencies across the state)
- Establishing automated interfaces between the record repository and prosecutors, courts, and corrections agencies (i.e., detention, prison, jail, and community supervision), including the development of relevant information exchange package documentation based on the National Information Exchange Model (NIEM).
- Capturing data on persons convicted of abusing children, elderly persons, or disabled persons; or stalking and domestic violence offenses (including protection orders and related violations).
- Submitting disposition information to the FBI via the III Message Key, Machine Readable Data process, or other methods of transmission accepted by the FBI.
- Reducing any backlog of missing court dispositions, provided that the dispositions are made available for criminal history inquiries at the state and national levels.
- Implementing the standardized RAP (record of arrest and prosecution) sheet format, which relies on NIEM, and assisting states in converting criminal history records to the standard interstate RAP sheet format or developing related electronic interchange capabilities.
- Converting manual or other non-automated criminal history records to electronic records provided they are available for criminal history inquiries at the state and national level.
- Establishing more effective controls over the accuracy and quality of information, including data analysis capabilities.

- Upgrading equipment to directly improve the availability of data where appropriate, given the level of data completeness and participation in national record systems. (The ongoing or maintenance costs associated with any such equipment are allowable only during the initial 12-month period.)
- Purchasing Livescan equipment for local agencies (1) where the funds can be justified on the basis of geographic, population, traffic, or related factors, and (2) when the jurisdiction has established an Automated Fingerprint Identification System (AFIS) and either has implemented or is implementing procedures to ensure that the AFIS is compatible with FBI Next Generation Identification (NGI) standards. (The ongoing or maintenance costs associated with any such equipment are allowable only during the initial 12-month period.)
- Ensuring compatibility with federal record systems, such as III, and implementing integrated system strategies that interface all components of the criminal justice system, including law enforcement, prosecutors, courts, and corrections, to the extent that such expenditures improve the availability of criminal record data, at the national level. Any systems funded must be compatible with FBI standards for national data systems, such as NIBRS, NCIC, NICS, and NGI. However, NCHIP funds may not be used to support studies, analysis, design, or development of integrated system strategies.
   Funds may not be used to primarily improve law enforcement investigative capabilities associated with NGI participation (e.g., latent workstations, palmprint capture, facial recognition, and DNA capture).

# 2. Improving access to information concerning persons prohibited from possessing or receiving a firearm for mental health reasons and transmitting relevant records to NICS

Allowable costs may include the following activities:

- Identifying and developing access to data on persons prohibited from firearm purchases under the Gun Control Act (18 U.S.C. § 922), as amended by the Brady Handgun Violence Prevention Act (P.L. 103–159).
- Participating in the FBI's Identification for Firearms Sales program, which is a system for flagging III records for the immediate and accurate identification of convicted felons.
- Enabling the state to serve as a point of contact (POC) under the NICS system (including related costs of equipment, software, personnel training, and development and implementation of related operating and administrative procedures). However, funds may not be used to cover ongoing costs of presale firearm background checks but may be used for costs associated with capturing dispositions in response to a specific NICS inquiry (provided that the captured data are entered into the automated state and FBI systems, thus serving to upgrade the permanent quality of the record systems).

- Instituting programming or operational changes in records management necessary to comply with the requirements for NICS record keeping and for reporting the status of transactions.
- Establishing electronic interfaces or information exchanges between criminal
  history records, sex offender registries, and civil protection order files to ensure
  that, consistent with state law, a complete data review is possible in connection
  with background checks for childcare or other authorized purposes. Funds may
  be used to develop software to establish protocols to permit interfaces
  between the criminal history record system, the state sex offender registry, and
  related protection order files (POF), including files of civil protection orders.

# 3. Supporting participation in the III and the National Fingerprint File (NFF), including adoption and implementation of the National Crime Prevention and Privacy Compact

Allowable costs may include the following activities:

- Paying reasonable costs associated with the adoption and implementation of the National Crime Prevention and Privacy Compact (Compact), including costs associated with state review and enactment of the Compact, and the development and implementation of procedures (including purchase of equipment and development of software) necessary to facilitate operations pursuant to Compact protocols, including those relating to participation in the FBI's NFF.
- · Automating criminal record databases.
- Synchronizing records between the state and the FBI.
- Developing software and hardware necessary to enable electronic access to state records on an intrastate or interstate basis.

# 4. Supporting efforts to seal and expunge criminal history information in accordance with state laws and policies

Allowable costs may include the following activities:

- Implementing or upgrading systems to automate processes for sealing and expunging criminal history information. (Note: States that have ratified or signed on to the National Crime Prevention and Privacy Compact (34 USC 40316) and wish to use these funds to automate processes for the sealing of records must ensure that such sealing occurs pursuant to a court order related to a particular subject or pursuant to a federal or state statute that requires action on a sealing petition filed by a particular record subject.) -
- Paying reasonable costs to reduce or eliminate backlogs in processing expungements.

The Goals, Objectives, and Deliverables are directly related to the performance measures that show the completed work's results, as discussed in the "Application and Submission Information" section.

#### **Evidence-Based Programs or Practices**

OJP strongly encourages the use of data and evidence in policymaking and program development for criminal justice, juvenile justice, and crime victim services. For additional information and resources on evidence-based programs or practices, see the OJP Grant Application Resource Guide.

# Information Regarding Potential Evaluation of Programs and Activities

OJP may conduct or support an evaluation of the projects and activities funded under this solicitation. For additional information and applicable documentation to be included in the application, see the OJP Grant Application Resource Guide section entitled "Information Regarding Potential Evaluation of Programs and Activities."

#### **Federal Award Information**

# **Solicitation Categories**

This solicitation does not include Solicitation Categories.

#### Awards, Amounts and Durations

# Anticipated Number of Awards

40

## **Anticipated Maximum Dollar Amount of Awards**

no max amount

Period of Performance Start Date 10/1/23

Period of Performance Duration (Months) 12 to 24 months

Anticipated Total Amount to be Awarded Under Solicitation 70,000,000

#### **Additional Information**

The period of performance start date can be as early as October 1, 2023 to as late as January 1, 2024

# **Continuation Funding Intent**

BJS may, in certain cases, provide additional funding in future years to awards made under this solicitation through continuation awards. OJP will consider, among other

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#### **Availability of Funds**

This solicitation, and awards under this solicitation, are subject to the availability of appropriated funds and to any modifications or additional requirements that may be imposed by the agency or by law. In addition, nothing in this solicitation is intended to, and does not, create any right or benefit, substantive or procedural, enforceable at law or in equity by any party against the United States, its departments, agencies, or entities, its officers, employees, or agents, or any other person.

#### Type of Award

BJS expects to make awards under this solicitation as cooperative agreements, which provide for OJP to have substantial involvement in carrying out award activities. See the "Administrative, National Policy, and Other Legal Requirements" section of the OJP Grant Application Resource Guide for additional information.

# **Financial Management and System of Internal Controls**

Award recipients and subrecipients (including recipients or subrecipients that are pass-through entities) must, as described in the Part 200 Uniform Requirements as set out at 2 C.F.R. 200.303, comply with standards for financial and program management. See the OJP Grant Application Resource Guide for additional information.

# **Cost Sharing or Matching Requirement**

This solicitation does not require a match.

#### **Pre-agreement Costs (also known as Pre-award Costs)**

See the OJP Grant Application Resource Guide for information on pre-agreement costs (also known as pre-award costs).

#### Limitation on Use of Award Funds for Employee Compensation: Waiver

See the OJP Grant Application Resource Guide for information on the Limitation on Use of Award Funds for Employee Compensation; Waiver.

# Prior Approval, Planning, and Reporting of Conference/Meeting/Training Costs

See the OJP Grant Application Resource Guide for information on Prior Approval, Planning, and Reporting of Conference/Meeting/Training Costs.

# **Costs Associated with Language Assistance (if applicable)**

See the OJP Grant Application Resource Guide for information on Costs Associated with Language Assistance.

# **Eligibility Information**

For eligibility information, see the solicitation cover page.

The NCHIP application must be submitted by the agency designated by the governor to administer NCHIP or by a federally recognized tribe. States and tribes may choose to submit applications as part of a multistate consortium, a multitribe consortium, or another entity. In such cases, contact the BJS program manager for further information. States and tribes are also encouraged to collaborate with courts, prosecutors, and correctional agencies on projects that improve the identification, quality, completeness, and availability of criminal history information

For purposes of this solicitation, "state" means any state of the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands.

Notice regarding law enforcement agencies: State, local, and university or college law enforcement agencies must be certified by an approved independent credentialing body or have started the certification process to be eligible for FY 2023 DOJ discretionary grant funding. To become certified, the law enforcement agency must meet two mandatory conditions: (1) the agency's use-of-force policies adhere to all applicable federal, state, and local laws, and (2) the agency's use-of-force policies prohibit chokeholds except in situations where use of deadly force is allowed by law. The certification requirement also applies to law enforcement agencies receiving DOJ discretionary grant funding through a subaward. For detailed information on this certification requirement, please visit <a href="https://cops.usdoj.gov/SafePolicingEO">https://cops.usdoj.gov/SafePolicingEO</a> to access the Standards for Certification on Safe Policing for Safe Communities, the Implementation Fact Sheet, and the List of Designated Independent Credentialing Bodies.

All recipients and subrecipients (including any for-profit organization) must forgo any profit or management fee.

# Application and Submission Information

# **Content of Application Submission**

The following application elements **must** be included in the application to meet the basic minimum requirements to advance to peer review and receive consideration for funding:

- Proposal Abstract
- Proposal Narrative

 Budget Web-Based Form (The web-based form includes the budget details and the budget narrative.)

If OJP determines that an application does not include these elements, it will neither proceed to peer review, nor receive further consideration.

# Information to Complete the Application for Federal Assistance (SF-424) in Grants.gov

The SF-424 must be submitted in Grants.gov. It is a required standard form used as a cover sheet for submission of pre-applications, applications, and related information. See the <u>OJP Grant Application Resource Guide</u> for additional information on completing the SF-424.

In Section 8F of the SF-424, please include the name and contact information of the individual **who will complete the application in JustGrants**. JustGrants will use this information *(email address)* to assign the application to this user in JustGrants.

Intergovernmental Review: This solicitation ("funding opportunity") is subject to Executive Order (E.O.) 12372. An applicant may find the names and addresses of State Single Points of Contact (SPOCs) at the following website: <a href="https://www.whitehouse.gov/wp-content/uploads/2020/04/SPOC-4-13-20.pdf">https://www.whitehouse.gov/wp-content/uploads/2020/04/SPOC-4-13-20.pdf</a>. If the applicant's State appears on the SPOC list, the applicant must contact its SPOC to find out about, and comply with, the State's process under E.O. 12372. On the SF-424, an applicant whose State appears on the SPOC list is to make the appropriate selection in response to question 19, once the applicant has complied with its State E.O. 12372 process. An applicant whose State does not appear on the SPOC list should answer question 19 by selecting "Program is subject to E.O. 12372 but has not been selected by the State for review."

#### Standard Applicant Information (JustGrants 424 and General Agency Information)

The "Standard Applicant Information" section of the JustGrants application is prepopulated with the SF-424 data submitted in Grants.gov. The applicant will need to review the Standard Applicant Information in JustGrants and make edits as needed. Within this section, the applicant will need to add ZIP codes for areas affected by the project; confirm their Authorized Representative; and verify and confirm the organization's unique entity identifier, legal name, and address.

### **Proposal Abstract**

A Proposal Abstract (no more than 400 words) summarizing the proposed project — including its purpose, primary activities, expected outcomes, the service area, intended beneficiaries, and subrecipients (if known) — must be completed in the JustGrants webbased form. This abstract should be in paragraph form without bullets or tables, written in the third person, and exclude personally identifiable information. Abstracts will be made

publicly available on the OJP and USASpending.gov websites if the project is awarded. See the OJP Grant Application Resource Guide for an example of a proposal abstract.

## **Proposal Narrative**

The Proposal Narrative should be submitted as an attachment in JustGrants. The attached document should be double-spaced, using a standard 12-point font; have no less than 1-inch margins; and should not exceed 30 numbered pages. If the Proposal Narrative fails to comply with these length restrictions, BJS may consider such noncompliance in peer review and in the final award decision. Tables, charts, and graphs can use fonts smaller than 12-point but must be included within the page limit.

The Proposal Narrative must include the following sections:

#### a. Statement of the Problem Background and Identification of Needs

Accomplishments and progress on record improvement goals. Applicants must summarize the major accomplishments achieved with funding under NCHIP. This section should describe, in quantifiable terms if possible, results achieved and advances made in the last decade of NCHIP funding (2017–2022). Specifically address accomplishments relating to participation in each of the national databases and initiatives (i.e., III, NICS, NCIC Protection Order File (POF), mental health records, and Livescan/AFIS capability). Where relevant, reference surveys and data quality audits. This section should also include any evaluative efforts undertaken to identify the key areas of weakness in the state's criminal record system since submission of previous NCHIP applications. Tribal applicants should discuss progress related to record automation and improvement funded by other federal sources that are applicable to the above areas.

<u>Current status in specific subject areas</u>. Describe the status of the applicant's participation in each of the following subject areas in your application and <u>include the current number of records in each system</u> where applicable:

- Dispositions available to III (including felonies and misdemeanor convictions of domestic violence). All applicants should discuss the percentage of state records with final dispositions or case outcomes linked to arrests and available at the time of a firearm background check. Applicants should provide information on efforts to improve reporting and availability at the national level. The application should also discuss the extent to which dispositions requested in connection with a NICS inquiry have not been provided within the required time frame and must identify any problems that are delaying instant responses to NICS inquiries and identify proposed solutions to these problems.
  - Applicants should provide the number and percentage of arrests (felonies and misdemeanors) available in the state criminal history repository that are missing final dispositions.

- Applicants should report the percentage of arrests (felonies and misdemeanors) missing final dispositions accessible to III.
- NICS Indices and mental health record availability. All applications should discuss the extent to which the applicant provides information to the FBI's NICS Indices. Applicants that do not submit information to this file should describe the prohibiting factors and any plans to overcome them. (Note: The Health Insurance Portability and Accountability Act (HIPAA) is not considered to be a prohibiting factor.) Additionally, all applicants should indicate whether mental health records are checked, either by the state POC or the FBI, during a NICS check. Where mental health records are accessible, include the number of records currently available and any plans to improve availability. If mental health records are not currently accessible at the time of a background check, describe factors that limit or prohibit exchange of mental health records. States that are not currently eligible for funding under NARIP should discuss plans, if any, to establish a relief from disabilities program and progress toward NARIP eligibility. If a state has no immediate plans to pursue development of a relief program, include a statement outlining the reasons for not pursuing one.
  - Applicants should provide the current number of submissions, by prohibitor, in the NICS Indices. Counts for the following prohibitors should be addressed in the application:
    - Felony conviction
    - Active felony indictment/information
    - Fugitive from justice
    - Controlled substance
    - Protection/restraining order
    - MCDV
    - State prohibitor
- Protection order file. All applicants should indicate whether they submit
  information for inclusion in the FBI's NCIC POF. Applicants that submit protection
  orders should indicate the number of active protection orders provided to the FBI.
  Applicants that do not submit all active protection orders to NCIC should describe
  the prohibiting factors and any plans to overcome them.
  - Applicants should provide the current number of records in the NCIC protection order file and a description of any known gaps in reporting.
- Warrants/wanted person records. All applicants should indicate whether they
  submit records for inclusion in the FBI's NCIC Wanted Persons file. Applicants that
  submit such records should indicate the number provided to the FBI for the last full
  calendar year. Applicants that do not submit such records (or do not submit all
  qualifying records that are maintained at the state or local level) should describe
  the prohibiting factors and any plans to overcome them.

 Applicants should provide the current number of active warrants in the NCIC Wanted Persons File and a description of any known gaps in reporting.

# b. Project Design and Implementation Description of Tasks to Be Funded

- Describe the activities to be conducted with NCHIP funds over the project period and specifically address how activities relate to each of the NCHIP priority areas identified under "Priority Areas for Grant Funding." Note that—
  - dollar amounts should be included for each funded task
  - applicants should provide quantitative measures to assess or describe the impact each project will have on the quality, completeness, and availability of records at the national level
  - applicants should submit a detailed project period timeline covering all proposed activities.
- Letter from the courts. In recognition of the importance of court reporting to the development of complete and accurate criminal records, all applications should describe tasks and indicate the level of funds that will be made directly available to the courts. Where no funds are provided for court-directed disposition reporting activities, a certification by the appropriate state or tribal court official declining participation must be included with this section.
  - If no funding is proposed for a court-directed disposition project, applicants should provide a letter of support from the courts as part of the application package.

#### c. Capabilities and Competencies

The administering NCHIP agency should coordinate efforts with relevant emergency management task forces and agencies to ensure that records development activities are compatible with security measures for preventing acts of terrorism.

To encourage coordination and information sharing among criminal justice systems, all OJP awards that support information technology development are subject to a special condition requiring that a description of the project be submitted to the state information technology POC, if one has been designated. A copy of the correspondence can be submitted as part of Additional Attachments or sent directly to BJS prior to fund drawdown.

There is no requirement that the POC agree with the information technology project. The intent of this condition is to facilitate communication within the state.

Recipients of funds under this solicitation should seek to ensure that any funded activities will be coordinated with related activities supported with OJP funding, including awards under OJP's Residential Substance Abuse Treatment Program, Drug Court Program, or the Mentally III Offender Act Program. Of particular interest are awards under these programs that may involve reportable records that can be shared with state and national record systems. To the extent feasible under state law and regulations, such records should be made available to the state criminal history record repository and federal files managed by the FBI, including III, NCIC, and the NICS Indices.

Tribal applicants should provide documentation that activities proposed for funding will be coordinated with a tribal consortia, with the state, or directly with the FBI.

<u>Unexpended funds</u>. The application should describe the specific reasons that previously awarded NCHIP funds remain unexpended (if applicable) and include the current unexpended balance.

 The applicant should include the current status of open NCHIP awards, including the current unexpended balance, the projected end date, and a description of any challenges or delays encountered, if applicable.

<u>Compatibility with other systems</u>. The application should describe the extent to which proposed activities are compatible with NIBRS, NCIC, NICS, NGI, and other applicable statewide or regional criminal justice information sharing standards or plans, including state antiterrorism.

d. Plan for Collecting the Data Required for this Solicitation's Performance Measures

Applicants should demonstrate knowledge of the performance measures outlined in this solicitation. The applicant should also detail how performance measures will be collected, who will be responsible for reporting on performance measures, and how the information will be used to guide and evaluate the impact of the project.

Note: An applicant is **not** required to submit performance data with the application. Rather, performance measure information is included as a notification that award recipients will be required to submit performance data as part of each award's reporting requirements. Some measures are presented as examples, while others are the exact measures that every recipient will be expected to address.

OJP will require each award recipient to submit regular performance data that show the completed work's results. The performance data directly relate to the goals, objectives, and deliverables identified in the "Goals, Objectives, and Deliverables" discussion. Applicants can visit OJP's performance measurement page at

<u>www.ojp.gov/performance</u> for an overview of performance measurement activities at OJP.

BJS will require award recipients to submit performance measure data and performance reports in JustGrants. BJS will provide further guidance on the post-award submission process, if the applicant is selected for award.

#### **Note on Project Evaluations**

An applicant that proposes to use award funds through this solicitation to conduct project evaluations must follow the guidance in the "Note on Project Evaluations" section in the OJP Grant Application Resource Guide.

# Goal, Objective and Deliverables

The applicant will submit the project's goals, objectives, deliverables and timeline in the JustGrants web-based form. The applicant will also enter the projected fiscal year and quarter that each objective and deliverable will be completed. Please refer to the <u>Application Submission Job Aid</u> for step-by-step directions.

#### Goals

The applicant should describe the project's intent to change, reduce, or eliminate the problem noted in the previous section and outline the project's goals.

# <u>Objectives</u>

Applicants should explain how the program will accomplish its goals. Objectives are specific, quantifiable statements of the project's desired results. They should be measurable and clearly linked to the goal.

#### Deliverables

Project deliverables refer to outputs—tangible or intangible—that are submitted within the scope of a project.

#### **Budget and Associated Documentation**

#### **Budget Worksheet and Budget Narrative (Web-based Form)**

The applicant will complete the JustGrants web-based budget form. For additional information, see the OJP Grant Application Resource Guide and the Complete the Application in JustGrants – Budget training.

# Pre-agreement Costs (also known as Pre-award Costs)

See the section on Costs Requiring Prior Approval in the <u>DOJ Grants Financial Guide</u> <u>Post Award Requirements</u> for more information.

#### **Indirect Cost Rate Agreement (if applicable)**

If applicable, the applicant will upload their indirect cost rate agreement as an attachment in JustGrants. See the OJP Grant Application Resource Guide for more information on indirect costs.

#### **Consultant Rate**

See the <u>DOJ Grants Financial Guide</u> for information on the consultant rates which require prior approval from OJP.

# **Limitation on Employee Compensation; Waiver**

See the OJP Grant Application Resource Guide for information on the Limitation on Use of Award Funds for Employee Compensation for Awards over \$250,000; Waiver.

# Financial Management and System of Internal Controls Questionnaire (including applicant disclosure of high-risk status)

The applicant will download the questionnaire, complete it, and upload it as an attachment in JustGrants. See the OJP Grant Application Resource Guide for the link to the questionnaire and additional information.

# **Disclosure of Process Related to Executive Compensation**

This solicitation expressly modifies the OJP Grant Application Resource Guide by not incorporating its "Disclosure of Process Related to Executive Compensation" provisions. Applicants to this solicitation are not required to provide this disclosure.

#### Memoranda of Understanding (MOUs) and Other Supportive Documents

Applicants should include for each named partner, a signed Memorandum of Understanding (MOU), Letter of Intent, or subcontract that confirms the partner's agreement to support the project through commitments of staff time, space, services, or other project needs. For applications submitted from two or more entities, applicants are encouraged to develop and submit signed MOUs or signed Letters of Intent that provide a detailed description of how the agencies will work together to meet project requirements.

Each MOU or Letter of Intent should include the following: (1) names of the organizations involved in the agreement; (2) scope of the direct service(s) and other work to be

performed under the agreement; (3) duration of the agreement. Subcontracts, MOUs, or Letters of Intent should be submitted as one separate attachment to the application.

# **Additional Application Components**

The following documents should be submitted either as a single file attachment or as separate attachments:

- Letter of support or commitment from the courts (required if no funds are going to the courts for disposition-related or mental health record capture projects).
- Letter to the state information technology POC describing the current application request, as referenced above.
- Complete project timeline outlining each activity, completion time, and responsible party.

The applicant will attach the additional requested documentation in JustGrants.

# **Tribal Authorizing Resolution**

An application in response to this solicitation may require inclusion of tribal authorizing documentation as an attachment. If applicable, the applicant will upload the tribal authorizing documentation as an attachment in JustGrants. See the <a href="OJP Grant">OJP Grant</a> Application Resource Guide for information on tribal authorizing resolutions.

#### **Timeline Form**

As stated under the Additional Application Components section, a complete project timeline outlining each activity, completion time, and responsible party is required.

#### **Letters of Support**

As stated under the Additional Application Components section, the letter of support or commitment from the courts is required if no funds are going to the courts for disposition-related or mental health record capture projects.

# Research and Evaluation Independence and Integrity Statement

If an application proposes research (including research and development) and/or evaluation, the applicant must demonstrate research/evaluation independence and integrity, including appropriate safeguards, before it may receive award funds. The applicant will upload documentation of its research and evaluation independence and integrity as an attachment in JustGrants. For additional information, see the <a href="OJP Grant Application Resource Guide">OJP Grant Application Resource Guide</a>.

#### **Disclosures and Assurances**

The applicant will address the following disclosures and assurances.

### **Disclosure of Lobbying Activities**

Complete and submit the SF-LLL in Grants.gov. Once the applicant submits in Grants.gov, this information will pre-pend into JustGrants. See the OJP Grant Application Resource Guide for additional information.

# **DOJ Certified Standard Assurances**

Review and accept the DOJ Certified Standard Assurances in JustGrants. See the OJP Grant Application Resource Guide for additional information.

# **Applicant Disclosure of Duplication in Cost Items**

Complete the JustGrants web-based Applicant Disclosure of Duplication in Cost Items form. See the OJP Grant Application Resource Guide for additional information.

# DOJ Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; Drug-Free Workplace Requirements; Law Enforcement and Community Policing

Review and accept the DOJ Certified Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; Drug-Free Workplace Requirements; Law Enforcement and Community Policing. See the OJP Grant Application Resource Guide for additional information.

### Applicant Disclosure and Justification - DOJ High Risk Grantees

If applicable, submit the DOJ High Risk Disclosure and Justification as an attachment in JustGrants. A DOJ High Risk Grantee is an award recipient that has received a DOJ High Risk designation based on a documented history of unsatisfactory performance; financial instability; management system or other internal control deficiencies; noncompliance with award terms and conditions on prior awards or is otherwise not responsible. See the OJP Grant Application Resource Guide for additional information.

# **How to Apply**

<u>Registration</u>: Before submitting an application, all applicants must register with the System for Award Management (SAM). You must renew and validate your registration every 12 months. If you do not renew your SAM registration, it will expire. An expired registration can delay or prevent application submission in Grants.gov and JustGrants. Registration and renewal can take up to 10 business days to complete.

<u>Submission</u>: Applications must be submitted to DOJ electronically through a two-step process via Grants.gov and JustGrants.

Step 1: After registering with SAM, the applicant must submit the SF-424 and SF-LLL in Grants.gov at <a href="https://www.grants.gov/web/grants/register.html">https://www.grants.gov/web/grants/register.html</a> by the Grants.gov deadline. Submit the SF-424 and SF-LLL as early as possible, but no later than 48 hours before the Grants.gov deadline. If an applicant fails to submit in Grants.gov, they will be unable to apply in JustGrants.

**Step 2:** The applicant must then submit the **full application**, including attachments, in JustGrants at JustGrants.usdoj.gov by the JustGrants deadline.

Within 24 hours after receipt of confirmation emails from Grants.gov, the individual in Section 8F of the SF-424 will receive an email from <a href="DIAMD-NoReply@usdoj.gov">DIAMD-NoReply@usdoj.gov</a> with instructions on how to create a JustGrants account. Register the Entity Administrator and the Application Submitter with JustGrants as early as possible but no later than 48-72 hours before the JustGrants deadline. Once registered in JustGrants, the Application Submitter will receive an emailed link to complete the rest of the application in JustGrants. The Entity Administrator also will need to log into JustGrants to review and invite the applicant's Authorized Representative(s) before an application can be submitted.

Submit the complete application package in JustGrants at least 24 – 48 hours prior to the JustGrants deadline. Some of the application components will be entered directly into JustGrants, and others will require uploading attached documents. Therefore, applicants will need to allow ample time before the JustGrants deadline to prepare each component. Applicants may save their progress in the system and revise the application as needed prior to hitting the Submit button at the end of the application in JustGrants.

For additional information, see the "How to Apply" section in the <u>OJP Grant Application</u> Resource <u>Guide</u> and the <u>DOJ Application Submission Checklist</u>.

#### **Submission Dates and Time**

The SF-424 and the SF-LLL must be submitted in Grants.gov by May 24, 2023, at 8:59 PM ET.

The full application must be submitted in JustGrants by May 31, 2023, at 8:59 PM ET.

OJP urges applicants to submit their Grants.gov and JustGrants submissions prior to the due dates with sufficient time to correct any errors and resubmit by the submission deadlines if a rejection notification is received. To be considered timely, the **full application** must be submitted in JustGrants by the JustGrants application deadline. Failure to begin the SAM.gov, Grants.gov, or JustGrants registration process in sufficient time (i.e., waiting until the date identified in this solicitation) is not an acceptable reason for late submission.

Experiencing Unforeseen Technical Issues Preventing Submission of an Application

OJP will only consider requests to submit an application after the deadline when the applicant can document that a technical issue with a government system prevented application submission.

If an applicant misses a deadline due to unforeseen technical issues with SAM.gov, Grants.gov, or JustGrants, the applicant may request a waiver to submit an application after the deadline. However, the waiver request will not be considered unless it includes a tracking number generated when the applicant contacts the applicable service desks to report technical difficulties. Tracking numbers are generated automatically when an applicant emails the applicable service desks; and for this reason applicants are encouraged to email the appropriate service desk, even if they also intend to call the service desk for phone support. Experiencing wait times for phone support does not relieve the applicant of the responsibility of getting a tracking number.

An applicant experiencing technical difficulties must contact the associated service desk indicated below to report the technical issue and receive a tracking number:

- SAM.gov contact the <u>SAM Help Desk (Federal Service Desk)</u>, Monday Friday from 8 a.m. to 8 p.m. ET at 866-606-8220.
- Grants.gov contact the <u>Grants.gov Customer Support Hotline</u>, 24 hours a day, 7 days a week, except on federal holidays, at 800-518-4726, 606-545-5035, or <u>support@grants.gov</u>.
- JustGrants contact the JustGrants Service Desk at <u>JustGrants.Support@usdoj.gov</u> or 833-872-5175, Monday – Friday from 7 a.m. to 9 p.m. ET and Saturday, Sunday, and federal holidays from 9 a.m. to 5 p.m. ET.

If an applicant has technical issues with SAM.gov or Grants.gov, the applicant must contact the OJP Response Center at <a href="mailto:grants@ncjrs.gov">grants@ncjrs.gov</a> within **24 hours of the Grants.gov deadline** to request approval to submit after the deadline.

If an applicant has technical issues with JustGrants that prevent application submission by the deadline, the applicant must contact the OJP Response Center at <a href="mailto:grants@ncjrs.gov">grants@ncjrs.gov</a> within 24 hours of the JustGrants deadline to request approval to submit after the deadline.

Waiver requests sent to the OJP Response Center must—

- describe the technical difficulties experienced
- include a timeline of the applicant's submission efforts (e.g., date and time the error occurred, date and time of actions taken to resolve the issue and resubmit; and date and time support representatives responded)
- include an attachment of the complete grant application and all the required documentation and materials
- include the applicant's Unique Entity Identifier (UEI)

• include any SAM.gov, Grants.gov, and JustGrants Service Desk tracking numbers documenting the technical issue.

OJP will review each waiver request and the required supporting documentation and notify the applicant whether the request for late submission has been approved or denied. An applicant that does not provide documentation of a technical issue or that does not submit a waiver request within the required time period will be denied.

For more details on the waiver process, OJP encourages applicants to review the "Experiencing Unforeseen Technical Issues" section in the OJP Grant Application Resource Guide.

**Application Review Information** 

#### **Review Criteria**

Merit Review Criteria

In making decisions to award funds under this program, BJS will determine whether the application meets program requirements and whether the applicant is operating satisfactorily and conforms to all applicable federal and state requirements. The amount of the award will be based on the strength of the justification for what the applicant is seeking to do and accomplish during the award period.

# 1. Description of the issue

The extent to which the application—

- includes a concise and well-organized description of progress and accomplishments of criminal history systems improvement.
- proposes to directly address one or more of the priorities as identified in the solicitation. The application should specifically address the FY 2023 Priority Areas – Improving Dispositions and Mental Health Submissions Accessible to NICS.
- addresses needs and identifies quantifiable measures to demonstrate how
  the funds will improve the quality, completeness, and accessibility of records
  at the national level, particularly with regard to NICS.

# 2. Project Design and Implementation

The extent to which—

 the proposed activities will result in the increased availability of records to systems queried by NICS, including through federal and state criminal history records, NCIC, and NICS Indices.

- the application includes dollar amounts for each proposed project.
- the application includes a detailed project period timeline covering all the proposed projects to demonstrate the technical feasibility of the proposed task(s) and details the specific implementation plan to achieve the intended deliverables.
- the application clearly demonstrates court commitment to record improvement either through direct funding or a letter of support.

# 3. Capabilities and Competencies

- Evidence of applicant's progress in record quality improvement efforts as demonstrated by making relevant records available for national background checks and the reported number of records currently available in the national files.
- The extent to which the applicant has fulfilled goals of previous NCHIP awards (or related criminal record improvement awards), including consideration of the total funds already awarded and expended funds from previous awards.
- The applicant's commitment to the national record system as evidenced by membership in III and participation in the FBI's NFF, and the current status of development in the applicant's criminal records.
- 4. Plan for Collecting the Data Required for this Solicitation's Performance Measures
  - An expressed commitment to supply key performance measures for the award period.
  - The sufficiency of the plan for collecting and reporting these performance measures.

#### 5. Budget

• Provide a reasonable budget, including the basis of the estimates, nature of the proposed expenditures, and their relation to the priorities identified herein.

#### **Review Process**

Applications submitted under this solicitation that meet the basic minimum requirements will be evaluated for technical merit by BJS using the review criteria listed above.

OJP screens applications to ensure they meet the basic minimum requirements prior to

conducting peer review. Although specific requirements may vary, the following are common requirements applicable to all solicitations for funding under OJP programs:

- The application must be submitted by an eligible type of applicant.
- The application must request funding within programmatic funding constraints (if applicable).
- The application must be responsive to the scope of the solicitation.
- The application must include all items necessary to meet the basic minimum requirements.

Pursuant to the Part 200 Uniform Requirements, before award decisions are made, OJP also reviews information related to the degree of risk posed by the applicant. Among other things to help assess whether an applicant that has one or more prior federal awards has a satisfactory record with respect to performance, integrity, and business ethics, OJP checks whether the applicant is listed in SAM as excluded from receiving a federal award.

In addition, if OJP anticipates that an award will exceed \$250,000 in federal funds, OJP also must review and consider any information about the applicant that appears in the non-public segment of the integrity and performance system accessible through SAM (currently, the Federal Awardee Performance and Integrity Information System, FAPIIS).

**Important note on FAPIIS:** An applicant may review and comment on any information about itself that currently appears in FAPIIS and was entered by a federal awarding agency. OJP will consider any such comments by the applicant, in addition to the other information in FAPIIS, in its assessment of the risk posed by the applicant entity.

Absent explicit statutory authorization or written delegation of authority to the contrary, all final award decisions will be made by the BJS Director, who may take into account not only peer review ratings and BJS recommendations, but also other factors as indicated in this section.

#### Federal Award Administration Information

#### **Federal Award Notices**

Generally, award notifications are made by the end of the current Federal fiscal year, September 30th. See the OJP Grant Application Resource Guide for information on award notifications and instructions.

# Administrative, National Policy, and Other Legal Requirements

If selected for funding, in addition to implementing the funded project consistent with the OJP-approved application, the recipient must comply with all award conditions and all applicable requirements of federal statutes and regulations, including the applicable requirements referred to in the assurances and certifications executed in connection with

award acceptance. For additional information on these legal requirements, see the "Administrative, National Policy, and Other Legal Requirements" section in the OJP Grant Application Resource Guide.

# **Information Technology Security Clauses**

An application in response to this solicitation may require inclusion of information related to information technology security. See the <a href="OJP Grant Application Resource Guide">OJP Grant Application Resource Guide</a> for more information.

#### **General Information about Post-Federal Award Reporting Requirements**

In addition to the deliverables described in the "Program Description" section, all award recipients under this solicitation will be required to submit certain reports and data.

Award recipients typically must submit quarterly financial reports, semi-annual performance reports, final financial and performance reports, and, if applicable, an annual audit report in accordance with the Part 200 Uniform Requirements or specific award conditions. Future awards and fund drawdowns may be withheld if reports are delinquent. (In appropriate cases, OJP may require additional reports.)

See the <u>OJP Grant Application Resource Guide</u> for additional information on specific post-award reporting requirements, including performance measure data.

# Federal Awarding Agency Contact(s)

For OJP contact(s), contact information for Grants.gov, and contact information for JustGrants, see page 2 of the solicitation.

#### Other Information

#### Freedom of Information and Privacy Act (5 U.S.C. 552 and 5 U.S.C. 552a)

See the OJP Grant Application Resource Guide for information on the Freedom of Information and Privacy Act (5 U.S.C. 552 and 5 U.S.C. 552a).

#### Provide Feedback to OJP

See the OJP Grant Application Resource Guide for information on how to provide feedback to OJP.

#### Performance Measures

Objective Performance	Data Recipient Provides
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Measure(s)			
Improve criminal history record systems in the U.S. states and territories to support background checks for	Percentage of state or tribal records that are automated.	Number of criminal history records, manual and automated, in the state's or tribe's criminal history file.	
the purposes of identifying ineligible firearm purchases and persons ineligible to hold positions involving children, the elderly, or		Number of fully automated records (records for which the master name index and entire criminal history are automated) in the state's or tribe's criminal history database.	
the disabled.		Number of records available through the III system (including arrests linked to case outcomes).	
	Percentage of records accessible through the III.	Number of arrests reported to the repository by mail, fax, electronic, or other means of submission; the number of these communicated by automated interface.	
		Number of court dispositions reported to the repository by mail, fax, electronic, or other means of submission.	
	Number of states and tribes submitting records to the FBI's NICS Indices.	Number of records submitted by states and tribes in the FBI's NICS Indices.	
Ensure the development of the infrastructure	Number of states and tribes participating in the FBI's NGI fingerprint capture.	Number of 10-print records submitted to the FBI's NGI.	

and tribe's record systems to national records and connects each state's background check databases to other states.	Number of states and tribes participating in the FBI's POF.	
	Number of states and tribes participating in the FBI's Wanted Persons File.	Number of active records submitted by states and tribes to the FBI's POF.
		Number of active records submitted by states and tribes to the FBI's Wanted Persons File.

# **Application Checklist**

### FY 2023 National Criminal History Improvement Program

This application checklist has been created as an aid in developing an application. For more information, reference the OJP Application Submission Steps in the OJP Grant Application Resource Guide and the DOJ Application Submission Checklist.

#### **Pre-Application**

#### Before Registering in Grants.gov:

 Acquire or renew your Entity's <u>System Award Management (SAM) Registration</u> <u>Information (see OJP Grant Application Resource Guide)</u>

# Register in Grants.gov

- Acquire an Authorized Organization Representative (AOR) and a Grants.gov username and password (see OJP Grant Application Resource Guide)
- Acquire AOR confirmation from the E-Business Point of Contact (E-Biz POC) (see OJP Grant Application Resource Guide)

# Find the Funding Opportunity

- Search for the funding opportunity in Grants.gov using the opportunity number, assistance listing number, or keyword(s)
- Access the funding opportunity and application package (see Step 7 in the OJP Grant Application Resource Guide)
- Sign up for Grants.gov email <u>notifications</u> (optional) (see <u>OJP Grant Application</u> <u>Resource Guide</u>)
- Read Important Notice: Applying for Grants in Grants.gov
- Read OJP policy and guidance on conference approval, planning, and reporting available at <a href="https://www.ojp.gov/funding/financialguidedoj/iii-postaward-requirements#6g3y8">https://www.ojp.gov/funding/financialguidedoj/iii-postaward-requirements#6g3y8</a> (see <a href="OJP Grant Application Resource Guide">OJP Grant Application Resource Guide</a>)

# Review the Overview of Post-Award Legal Requirements

 Review the "Overview of Legal Requirements Generally Applicable to OJP Grants and Cooperative Agreements - FY 2023 Awards" in the OJP Funding Resource Center.

#### Review the Scope Requirement

The federal amount requested is within the allowable limit(s) of \$70,000,000.

# Review Eligibility Requirement:

 Review the Eligibility section on the cover page and Eligibility Information section in the solicitation.

#### **Application Step 1**

Submit the SF-424 and SF-LLL in Grants.gov

- In Section 8F of the SF-424, include the name and contact information of the individual who will complete the application in JustGrants and the SF-LLL in Grants.gov
- Submit Intergovernmental Review (if applicable)

Within 48 hours after the SF-424 and SF-LLL submission in Grants.gov, receive four (4) Grants.gov email notifications:

- a submission receipt
- a validation receipt
- a grantor agency retrieval receipt
- an agency tracking number assignment

If no Grants.gov receipt and validation email is received, or if error notifications are received:

 Contact Grants.gov Customer Support Hotline at 800-518-4726, 606-545-5035, <u>Grants.gov customer support</u>, or <u>support@grants.gov</u> regarding technical difficulties (see OJP Grant Application Resource Guide)

Within 24 hours after receipt of confirmation emails from Grants.gov, the individual in Section 8F of the SF-424 will receive an email from JustGrants with login instructions.

Proceed to Application Step 2 and complete application in JustGrants

# **Application Step 2**

Submit the following information in JustGrants:

**Application Components** 

- Standard Applicant information (SF-424 information from Grants.gov)
- Proposal Abstract\*
- Proposal Narrative\*

**Budget and Associated Documentation** 

- Budget Web-Based form\*
- Indirect Cost Rate Agreement (if applicable) (see OJP Grant Application Resource Guide)

 Financial Management and System of Internal Controls Questionnaire (see OJP Grant Application Resource Guide)

#### Additional Application Components

- Tribal Authorizing Resolution (if applicable) (see <u>OJP Grant Application Resource</u> Guide)
- Research and Evaluation Independence and Integrity (see <u>OJP Grant Application</u> Resource Guide
- Request and Justification for Employee Compensation; Waiver (if applicable) (see OJP Grant Application Resource Guide)
- Timeline
- Letters of support
- Résumés of key personnel (if applicable)
- Letter to the state information technology POC
- List of procurement contracts (if applicable)
- Organizational chart (if applicable)

#### Disclosures and Assurances

- <u>Disclosure of Lobbying Activities (SF-LLL)</u> (see <u>OJP Grant Application Resource</u> Guide)
- Applicant Disclosure of Duplication in Cost Items (see OJP Grant Application Resource Guide)
- DOJ Certified Standard Assurances (see OJP Grant Application Resource Guide)
- DOJ Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; and Drug-Free Workplace Requirements (see <u>OJP Grant</u> Application Resource Guide)
- Applicant Disclosure and Justification DOJ High Risk Grantees (if applicable) (see OJP Grant Application Resource Guide)

Review, Certify and Submit Application in JustGrants

- Any validation errors will immediately display on screen after submission.
- Correct validation errors, if necessary, and then return to the "Certify and Submit" screen to submit the application. Access the <u>Application Submission Validation</u>

<sup>\*</sup>Note: Items designated with an asterisk must be submitted for an application to meet the basic minimum requirements review. If OJP determines that an application does not include the designated items, it will neither proceed to peer review, nor receive further consideration.

<u>Errors Quick Reference Guide</u> for step-by-step instructions to resolve errors prior to submission.

 Once the application is submitted and validated, a confirmation message will appear at the top of the page. Users will also receive a notification in the "bell" alerts confirming submission.

If no JustGrants application submission confirmation email or validation is received, or if error notification is received:

 Contact the JustGrants Service Desk at 833-872-5175 or <u>JustGrants.Support@usdoj.gov</u> regarding technical difficulties. See the <u>OJP Grant</u> Application Resource Guide for additional information.

#### **Standard Solicitation Resources**

OJP Grant Application Resource Guide provides guidance to assist OJP grant applicants in preparing and submitting applications for OJP funding.

<u>DOJ Grants Financial Guide</u> serves as the primary reference manual to assist award recipients in fulfilling their fiduciary responsibility to safeguard grant funds and to ensure funds are used for the purposes for which they were awarded. It compiles a variety of laws, rules and regulations that affect the financial and administrative management of DOJ awards. This guide serves as a starting point for all award recipients and subrecipients of DOJ grants and cooperative agreements in ensuring the effective day-to-day management of awards.

<u>JustGrants Resources Website</u> is an entryway into information about JustGrants and the grants management system itself. Through this portal both award recipients and applicants can access training resource and user support options, find frequently asked questions and sign-up for the <u>JustGrants Update e-newsletter</u>.

<u>JustGrants Application Submission Training Page</u> offers helpful information and resources on the application process. This training page includes e-learning videos, reference guides, checklists and other resources to help applicants complete an application.

<u>Virtual Q&A Sessions</u> are advertised here and provide opportunities for users to receive topic-specific training, direct technical assistance and support on JustGrants system functionality.