FY 2010 NCHIP Award Summaries

Alabama ($427,000) Under this award, the Alabama Criminal Justice Information Center (ACJIC) will use funds to update and automate case outcomes from courts and prosecutors in state records and the FBI's Criminal History File, update records in the Interstate Identification Index (III), and conduct a stalking and domestic violence record improvement program. The ACJIC proposes the following activities: 1) continue to reduce the significant backlog of dispositions from the courts; 2) take ownership of approximately 25,000 records in III that are currently maintained by the FBI by utilizing staff time to update records and reset III pointers for Alabama inquiries; 3) fund additional staff to correct 3,700 records that are not synchronized with records at the FBI; and 4) create Domestic Violence Data Analysis Summary Highlight Boards (DASHBoards) software that display collected domestic violence data for use by law enforcement and other criminal justice agencies to enhance the retrieval of domestic violence information.

Alaska ($391,060) Under this award, the Alaska Department of Public Safety (DPS) will transfer funds to the Alaska Court System to conduct projects involving the conversion of paper dispositions to an electronic format and the electronic sharing of court dispositions. Funds will also be used for DPS to research and correct missing criminal charge dispositions and errors found on criminal history records, as well as purchase Livescans devices to be placed in local police departments. These tasks will ensure the availability of complete, accurate, and timely criminal history records information to state and national databases.

Arizona ($185,000) Under this award, the Arizona Criminal Justice Commission (ACJC) will transfer funds to the Tuscon Police Department to support staff efforts to reduce the current backlog of unprocessed arrest events and update the criminal history record information. Funds will also be transferred to the Arizona Department of Public Safety to: 1) partially support the implementation of an online certification and security awareness training program for all personnel who have access to state criminal history record information; and 2) support continued efforts to image criminal record documents to enhance electronic access to criminal history record information.

Arkansas ($240,427) Under this award, the Arkansas Crime Information Center (ACIC) will use temporary data entry personnel to enter 40,000 Arkansas Department of Corrections' records pertaining to dispositions and intake information into the state's repository. In addition, four data entry personnel will be hired to work solely on the National Instant Criminal Background Check System (NICS) database, the Web-Based Court Review Project, and with the manual entry of missing court dispositions, specifically those involving

California ($105,128) Under this award, the California Department of Justice (CA DOJ) will pass through NCHIP funds to the Administrative Office of the Courts (AOC) for the purpose of developing a systems interface that will allow for the exchange of adjudicated cases from 58 superior courts. The AOC has been in the process of developing the infrastructure, documentation and applications to capture, extract and format the data to conform to the CA DOJ National Information Exchange Model (NIEM) based exchanges. The development of the NIEM interface with the courts will help close the gap of

approximately 50% of underreported judicial cases from the courts to the DOJ. In particular, the proposal will support the deployment of the California Case Management System (CCMS) and the information sharing approach where it specifically relates to CA DOJ reporting. The CCMS is a statewide case management system that is being developed to meet the needs of all California trial courts. Funds will also be used to train county courts on those new processes developed.

Colorado ($251,490) Under this award, the Colorado Division of Criminal Justice plans to improve the processing of misdemeanor dispositions and eliminate the sex offender record backlog at Colorado's Bureau of Investigation by contracting the services of temporary personnel to update records in the state's Criminal History Record Information System and the FBI's national databases. A subgrant will be provided to the Colorado Bureau of Investigation (CBI) to work with the Colorado State Judicial to implement a pilot project which will place Livescan devices in selected high-volume courtrooms across the state in order to capture fingerprint data at the time an offender appears in court.

Connecticut ($565,437) Under this award, the Connecticut Office of Policy and Management will use funds to carry out three projects: 1) Automate reporting of drug adjudications to the National Instant Criminal Background Check System (NICS) by updating the record keeping system in the criminal courts to properly identify drug convictions that invoke the federal firearms prohibition; 2) Reduce the disposition backlog of criminal history records by funding overtime for qualified staff; and 3) Enhance the protection order registry to improve timeliness, accuracy, and completeness of records in NCIC and the state repository that will also establish procedures for entering protection order records directly into the NICS.

Delaware ($135,405) Under this award, the Delaware Department of Safety and Homeland Security, formerly named the Delaware Department of Public Safety, will use funds to submit dispositions via Machine Readable Data (MRD). This project will manage the transfer of court and custody dispositions, and expungement data from the Delaware criminal history database to the FBI criminal history database. Since Delaware is a sole source state all data transfer must flow through the Delaware State Bureau of Identification (SBI). Disposition data has not been submitted by SBI to the FBI for the past 7 years due to attrition, loss of staff experienced in manually processing the data, and the volume of workload. To compound this problem, the applications that originally allowed SBI to electronically submit dispositions has been rendered useless due to changes in technology. The state will develop a new interface between SBI and the FBI to greatly increase the accuracy of disposition data available in the Interstate Identification Index and the NICS Index.

Florida ($574,973) Under this award, the Florida Department of Law Enforcement (FDLE) will use NCHIP funds to: 1) make enhancements to the state's criminal history program to improve the completeness and timeliness of records processing, continue efforts to improve Florida's Record Quality Index, and assist in processing of data received from the Florida's Clerks of Court; 2) improve the quality of the state's criminal history system by researching felony dispositions, updating the Florida Statute Table, processing disposition records that require manual intervention, and researching felony arrests without a disposition for the FDLE Firearms Purchase Program; 3) complete programming modifications to incorporate mugshots into criminal history information; 4) assist state efforts to enable FDLE to

complete technical searches on applicant fingerprints in order to regain compliance with National Fingerprint File requirements; 5) purchase analytical software to assist the Statistical Analysis Center's (SAC) efforts to analyze repository records to identify ways to improve data quality; 6) complete modifications to enhance the Judicial Inquiry System to improve domestic violence and mental health data collection and reporting; and 7) update software and make modifications to improve collection and reporting of domestic violence data by arresting agencies and the Florida Clerks of Court.

Idaho ($100,650) Under this award, the Idaho State Police and the Idaho courts system will continue collaboration to design a system to address the timely submission and retrieval of warrants and protection order information for law enforcement use. Funds are being requested for the design, development, programming, installation, testing, and training costs associated with the creation of a data exchange to transfer records from the courts to the state repository, then to the FBI for inclusion in NCIC, if necessary. Additionally, funds will be used for programming support to correct errors in the transmission of modified court dispositions to the state repository. This will ensure the disposition listed in the record is the latest issued by the court.

Illinois ($324,000) Under this award, the Illinois Criminal Justice Information Authority will pass funds through to the Illinois State Police (ISP) and to the Clerk of the Circuit Court of Cook County (CCC) to automate and improve case outcomes from courts and prosecutors. The ISP will implement a web service interface which to allow circuit clerks to query and update arrest information within their jurisdiction and provide the ability to complete information required to the disposition reporting process. In addition, funding to the CCC will support development of an application to process error rejects, and provide a means to correct errors by either batch run of system edits or through research and investigation by CCC staff.

Iowa ($298,264) Under this award, the Iowa Department of Public Safety will use funds for the following activities: 1) continue to conduct audits of criminal justice agencies to examine compliance with requirements for the submission of fingerprint cards and disposition information to the central repository; and 2) meet National Fingerprint File (NFF) requirements by implementing needed modifications to Iowa's AFIS and criminal history system. Contracted staff will update the AFIS programming for NFF compliance and for electronic transmission of FBI and US Visit/IDENT responses to fingerprint contributors. Contracted staff will also implement the modifications to the criminal history records system for NFF compliance.

Kansas ($327,435) Under this award, the Kansas Governor's Office will use funds to contract with the Kansas Bureau of Investigation (KBI) to provide temporary data entry personnel to improve the quality and completeness of criminal history and related records. This project builds on previous successes funded by earlier NCHIP grant awards, and will provide essential support to ongoing work by the KBI to automate criminal history records, electronically image source documents, synchronize databases to identify and obtain missing record data, incorporate domestic violence data, promote uniform data exchange and facilitate access to more complete and accurate arrest and disposition information in support of criminal justice practitioners and the NICS program.

Louisiana ($171,108) Under this award, the Louisiana Commission on Law Enforcement (LCLE) intends to enhance the state's Prosecuting Attorneys' Management Information System (PAMIS) enabling information on persons prohibited from possessing and/or purchasing a firearm are included in National Instant Criminal Background Check System (NICS). Funds will also be used to assist district courts with purchasing new/replacement equipment and software to capture dispositions and sentencing information in the courts' case management systems.

Maine ($350,000) Under this award, the Maine State Police will use funds to purchase a Livescan unit, printer, and a portable Livescan device to be used by local law enforcement agencies. These machines will be used in counties that contribute large amounts of criminal history information to the state's criminal history repository. Funds will also be used for the services of several Identification Specialists to retrieve paper fingerprint cards and related criminal history documents and electronically submit the information into the state's criminal history repository.

Maryland ($379,164) Under this award, the Maryland Department of Public Safety and Correctional Services will use award funds to complete the following activities: 1) continue efforts to improve the quality, timeliness, and completeness of criminal history records in the state repository by researching missing dispositions for arrest events and implementing a "front-door" quality control edit on incoming records from the courts to ensure completeness before entry into the repository; and 2) conduct criminal history records information checks, through the State Archives, in response to FBI National Instant Criminal Background Check System (NICS) inquiries for arrests prior to 1981.

Michigan ($399,990) Under this award, the Michigan State Police (MSP) will: 1) perform year round audits of local law enforcement and criminal justice agencies' criminal history record systems; 2) modify the state's computerized criminal history record (CHR) system; 3) process dispositions from the pending file and place them in the state's CHR system; and 4) conduct activities to interface the CHR system and the state police records management system with the Prosecuting Attorneys' Association database.

Minnesota ($392,002) Under this award, the Minnesota Department of Public Safety, Bureau of Criminal Apprehension will use funds to fully participate in the Interstate Identification Index by implementing the National Fingerprint File (NFF) Fastrack Project. This project will allow the state to meet NFF requirements set forth by the FBI by modifying the fingerprint processing of criminal and civil fingerprints to comply with NFF rules. Changes will be made to two existing services, BioID (the message handling and workflow management of biometric transactions) and the Computerized Criminal History, and a new service will be created, the Civil Applicant Processing Service (CAPS). All of these changes are required before Minnesota can be certified for NFF participation. Ultimately, these changes will improve the way Minnesota processes criminal record information and applicant background check requests.

Mississippi ($250,000) Under this award, the Mississippi Department of Public Safety (MS DPS) will use funds to upgrade its aging and under-capacity state message switch equipment to enhance and expand electronic transmissions that forward fingerprint and arrest cycle data to the national database. National Information Exchange (NIEM) compliant transactions will meet load requirements of additional NIEM transaction types and accommodate increased volume. In addition, the MS DPS will develop software

necessary to enable electronic access to state records on an intrastate or interstate basis. Funds will be secured to contract services to configure, transfer and test the state message switch software and manage Mississippi's much needed upgrade project.

Missouri ($465,000) Under this NCHIP award, the Missouri State Highway Patrol (MSHP) will continue to work with the Office of State Courts Administrator (OSCA), the Missouri Office of Prosecution Services (MOPS), and the Missouri Police Chiefs Association (MPCA) to implement improved criminal history record capture procedures in Missouri courts, prosecutors' offices, corrections, and law enforcement agencies. Funds will be used to establish an interface between the prosecutor's Karpel case management system and local law enforcement agencies' legacy systems for transmitting arrest/referral data. In addition, MSHP plans to purchase Livescan units to interface with the state's Automated Fingerprint Identification System (AFIS); create an interface between the Department of Corrections and the state record repository; and modify the AFIS so Missouri can become a participant in the National Fingerprint File.

Montana ($194,400) Under this award, the Montana Board of Crime Control will continue efforts to standardize data collection and electronic information exchange practices to meet national information sharing standards between justice agencies in conjunction with the state's broader e-filing initiative lead by the Montana Supreme Court's Commission on Technology. In 2008, the Commission created the Electronic Filing and Remote Access Task Force that studied and reported on the feasibility of establishing a common central system to manage electronic filing of cases and case related documents in all Montana Courts. The Task Force endorsed the latest Electronic Court Filing (ECF) specifications to bring the specification into conformance with the National Information Exchange (NIEM) Model 2.0. Supporting these findings, funds from this award will be used to develop specific Information Exchange Package Documentation (IEPD) for system-to-system electronic filings between prosecutors and courts for criminal cases and civil cases of interest (civil commitments), initiated by prosecutors in Montana. In addition, a web-based electronic filing service for prosecutors and law enforcement agencies will be developed.

Nebraska ($300,000) Under this award, the Nebraska State Patrol will update and automate case outcomes from courts and prosecutors in state criminal records and the FBI's Criminal History File, and participate fully in the Interstate Identification Index. Through this grant, the following activities will be completed: 1) reduce the backlog of dispositions not entered into the criminal history system; 2) redesign the interface between the court system (JUSTICE) and the Patrol Criminal History case management system to improve sharing criminal history information with federal systems, and to accommodate an increase of information flow of Douglas County court records that will soon begin to be electronically sent to JUSTICE; and 3) synchronize the state criminal history records with the FBI records.

New Hampshire ($300,000) Under this award, the New Hampshire Department of Justice will use funds to improve disposition reporting by implementing the Automated Fingerprint Identification System (AFIS) Project. This project will purchase Livescan devices to increase the number of automated fingerprints taken and better utilize resources to reduce the backlog of 86,000 inked fingerprint cards

that need to be entered into the Tri-State AFIS system. In addition, funds will be applied to upgrade the Tri-State AFIS system that stores fingerprints, latent prints, and palm prints for Maine, Vermont, and New Hampshire.

New York ($671,457) Under this award the New York State Division of Criminal Justice Services (DCJS) will use NCHIP funds to: 1) complete a comprehensive assessment to evaluate the viability of the state's full participation in the National Fingerprint File (NFF) and identify IT requirements for implementation of system changes associated with NFF participation; and 2) contract with the Administrative Office of the Courts to continue to identify and correct inaccurate court information, reduce the number of missing dispositions in the state repository; and provide assistance to town and village courts in the electronic processing of criminal dispositions.

Ohio ($200,000) Under this award, the Ohio Office of Criminal Justice Services (OCJS) will use funds to improve the accuracy, timeliness, and completeness of criminal history records in the state by implementing the Court Disposition Project. The OCJS will properly identify individuals appearing before a court by purchasing Livescan units to be placed throughout the state. This will ensure all dispositions from those courts will have a fingerprint attached in order to resolve matching problems with the original arrest records. By resolving this problem, accurate and complete fingerprints and dispositions will be available in a timely manner to the state repository at the Bureau of Criminal Identification and Investigation and to the federal systems.

Oklahoma ($149,823) Under this award, the Oklahoma District Attorneys Council (DAC) will use funds to enhance the quality, completeness, and accessibility of criminal history record information by establishing a hardware and software infrastructure to enable the DAC to participate in the Administrative Office of the Court's information exchange initiative. The infrastructure will enable the DAC to participate in the electronic exchange of information from the court's Integrated Justice Information System (IJIS) messaging system and load information into the DAC's JustWare Case Management System (CMS) automatically. In addition to automating the process, this upgrade will improve both the accuracy and timeliness of data entry in managing cases and reporting filings and dispositions to the state repository.

Pennsylvania ($259,375) Under this award, the Pennsylvania Commission on Crime and Delinquency will use NCHIP funds to purchase and install additional Livescan devices to increase the number and quality of fingerprints taken at the time of arrest. In addition to increasing the level of fingerprinting compliance throughout the state, this project will enable the registration of an additional 1,000 sex offenders through the Pennsylvania State Police (PSP) Automated Fingerprint Identification System. Funds will also be used to support the Protection From Abuse Database (PFAD) Technical Support staff position, located within the Pennsylvania Coalition Against Domestic Violence, to provide technical aid and training to the over 13,000 authorized PFAD users across the state. The funds will enable the PFAD to remain successful in supplying protection order information, through the PSP, into the NCIC Protection Order File.

Rhode Island ($150,000) Under this award, the Rhode Island Public Safety Grant Administration Office will use funds to: 1)partially support court staff efforts to enter disposition data into the criminal history

repository; 2)support an additional programmer to make upgrades to the Department of Corrections Inmate Facility Tracking System and provide training in the new technology to Department personnel; 3)support the implementation of nexTEST, an automated and secure software application designed to strengthen and manage the state's criminal history data user training, testing, and certification processes to ensure compliance with FBI requirements and safeguard the state's criminal history data; 4)partially fund the NCHIP grants administrator at the Rhode Island Public Safety Grant Administration Office; 5)support staff efforts to reconcile criminal history data in records maintained by the Rhode Island Office of the Attorney General with the data in the FBI files; and 6)upgrade Livescan devices to include additional text fields to identify the underlying criminal charges for individuals arrested on a warrant in order to match the record to a corresponding disposition.

South Dakota ($113,325) Under this award, the South Dakota Division of Criminal Investigation (DCI), located within the South Dakota Office of the Attorney General, will use NCHIP funds to continue projects from previous NCHIP awards to: 1) Support staff efforts to reduce repository work backlogs caused by a significant increase in the number of requested non-criminal background checks resulting from new legislative mandates regarding school district employees; 2) Support staff efforts to maintain timely, accurate, and complete criminal history records at the South Dakota criminal repository; and 3) Support staff efforts to automate criminal records to include court dispositions so this information will be immediately accessible. Additionally, funds will be used to cover travel expenses for the Attorney General, court, and DCI personnel to participate in national conferences, meetings, and training sessions related to criminal history records improvement topics.

Tennessee ($250,000) Under this award, a portion of the funds will be transferred to the Administrative Office of the Courts (AOC) to aid the state's efforts to improve criminal history records. AOC will purchase hardware and software packages for Phase I of its case judgment automation project. This project is a statewide effort that involves several state agencies and their records. The purpose of the project is to electronically produce final judgment orders from across the state and make them available to authorized agencies which will aid in reducing the redundancy of data entry within the business flow.

Texas ($700,000) Under this award, the Texas Department of Public Safety will contract with a vendor to update and automate nearly 900,000 Group II (individuals born in 1939 or before) hard copy arrest records and AFIS registration information in a NIST format and directly add them to the state AFIS. Incomplete criminal history records in the state repository are also deficient at the Federal level, thereby negatively affecting national background checks and criminal investigations. Once the records and AFIS registration information have been updated to the state AFIS, they will be transmitted to the FBI for inclusion in the national system.

Utah ($208,000) Under this award, the Utah Commission on Criminal and Juvenile Justice will continue to examine Utah's Suspense File for systemic errors, and train law enforcement and court personnel on identifying errors and properly reporting disposition information to the state's criminal records repository. Funds are also being requested to help Utah improve real-time disposition reporting using the National Information Exchange Model (NIEM) standard.

Vermont ($58,374) Under this grant, the Vermont Crime Information Center (VCIC) will conduct the following NCHIP activities to improve the quality of the state's criminal records and criminal record systems: 1) automate the interface between the record repository and the courts; 2) ensure records of all criminal events that start with an arrest are included in the database; 3) reduce any backlog of missing dispositions; 4) upgrade equipment; and 5) synchronize records between the state and FBI in order to provide full disposition reporting via the Interstate Identification Index (III).

Virginia ($349,039) Under this award, the Virginia State Police and Virginia Supreme Court will use funds to: 1) research, resolve, and update missing dispositions in the computerized criminal history (CCH) system to improve NICS checks; 2) conduct in-depth research of dispositions to determine whether prohibiting factors for firearm transactions conform with state and federal laws; and 3) implement a new web-based system to display scanned images of mental commitment orders and protective orders for immediate access and use by the Virginia State Police.

Washington ($562,672) Under this award, the Washington Department of Information Services will use funds to update and automate case outcomes from courts and prosecutors in state records and the FBI's criminal history file by completing the following projects: 1) utilizing temporary staff and overtime for existing staff to reduce the number of missing dispositions in the Washington State Identification System (WASIS); 2) purchase Livescan fingerprint and palm print devices for Hoquiam Police Department to reduce rejections by the FBI due to poor quality prints; and 3) acquire and install Livescan units to improve completeness of criminal history records.

West Virginia ($535,058) Under this award, the West Virginia Division of Criminal Justice Services (DCJS) will continue implementing projects to automate access to information concerning persons prohibited from possessing or receiving a firearm and transmitting relevant records to the NICS Index. DCJS will use funds to complete the following activities: 1) continue improvements to the state mental health database project through providing training to Magistrates and Circuit Judges and ensuring validity of data submissions at the Supreme Court; 2) implement a Warrants Upgrade project that will update Court forms in the system to collect specific data on felonies and misdemeanors, update the WV State Police system to submit the data from the new Court forms to the NICS Index, and update systems to create a centralized warrants system to access warrants issued in various courts or counties throughout the state; and 3) manage and administer the project activities.

Wisconsin ($388,560) Under this award, the Wisconsin Office of Justice Assistance (OJA) will use funds to accomplish the following activities: 1) Continue to conduct an evaluation of the interface to transmit electronic warrant and temporary restraining orders from circuit courts to Wisconsin Department of Justice and local sheriff's departments. The counties of Dane and Milwaukee will serve as demonstration counties. In addition, OJA will expand the restraining order information sharing project to another county; 2) Implement an eReferral project to an additional eight law enforcement agencies to interface with the prosecuting offices. This will automate new case referrals from law enforcement agencies and reduce manual entries thereby reducing errors; 3) Continue to carry out an aggressive disposition matching project to improve the timeliness of data getting entered in the state Computerized Criminal History System; and 4) Purchase ten RapID two-print capture devices to be used as demonstration

devices for other agencies interested in purchasing devices for the purposes of assisting street officers with verifying a subject's identity.