

# 2023 ANNUAL PROBATION SURVEY

U.S. Department of Justice, Bureau of Justice Statistics, and acting as collection agent: RTI International

Please complete this survey before **March 1, 2024** using one of the following methods:

**Online:** [www.bjs-aps.org](http://www.bjs-aps.org)

**Mail:** RTI International  
Research Operations Center  
ATTN: ASPP Data Capture  
5265 Capital Blvd.  
Raleigh, NC 27616

**Fax:** 1-866-509-7471

Please provide the contact information for the person filling out this form:

**Job title:**

**Name:**

**Address 1:**

**Address 2:**

**City:**

**State:**

**Zip Code:**

**Telephone:**

**Fax:**

**Email Address:**

**Agency UID:**

***If you have any questions, contact RTI International at 1-866-334-4175 or [bjs-aps-help@rti.org](mailto:bjs-aps-help@rti.org).***

## BURDEN STATEMENT

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. Public reporting burden for this collection is estimated to average 150 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any aspect of this collection of information, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531; and to the Office of Management and Budget, OMB No. 1121-0064, Washington, DC 20503.

## Instructions

- **Please provide a response to each question.**
  - If the answer to a question is **none** or **zero**: Write “0” in the space provided.
  - If an exact numeric answer is **not available**: Provide an estimate and check the *Estimate* box.
  - If you **do not know** the answer to a question: Write “DK” (don’t know) in the space provided.
  - If the question **does not apply** to your agency: Check the *Not applicable* box (if present) or write “NA” in the space provided.
- **Who is covered by this survey?**
  - **Include** all adults who have been placed under the supervision of a probation agency as part of a court order, regardless of conviction status. *The term “adults” refers to all persons under the jurisdiction of an adult court or correctional agency, regardless of age.*
  - **Include** adults on probation legally your responsibility but supervised outside your jurisdiction, such as through an interstate compact agreement.
  - **Include** adults on probation contracted out to private agencies.
  - **Include** adults on active felony or misdemeanor probation supervision, including those who report electronically, on inactive supervision, or in a residential/other treatment program but not in regular contact with a probation authority.
  - **Include** absconders (adults on probation who had failed to report and could not be located) who have not been discharged from probation.
  - **Include** adults on probation who may be under local jurisdiction but not reported separately by another agency.
- **Who is not covered by this survey?**
  - **Exclude** juveniles (persons under the jurisdiction of a juvenile court or corrections agency).
  - **Exclude** informal cases such as bench or paper cases.
  - **Exclude** interstate compact cases supervised by your jurisdiction for another state.
  - **Exclude** adults on probation supervised by your jurisdiction but legally the responsibility of another jurisdiction.

1. Our records show that on December 31, 2022 your agency was supervising  adults on probation. Is this correct?

☐ Yes

☐ No → Please provide the correct number. If none, please enter 0. If an exact number is not available, please provide an estimate and check the "Estimate" box.

Estimate: ☐

2. On January 1, 2023, how many of the following types of adults on probation was your agency supervising? If your agency was supervising individuals who had more than one type of charge, please report them in the category that represents their most serious offense. If none, please enter 0 for all population types as appropriate. If an exact number is not available, please provide an estimate and check the "Estimate" box.

Adults on  
felony probation  
supervised on  
January 1, 2023

Estimate: ☐

Adults on  
misdemeanor probation  
supervised on  
January 1, 2023

Estimate: ☐

Adults on other  
types of probation  
supervised on  
January 1, 2023

Estimate: ☐

Adults on unknown  
type of probation  
supervised on  
January 1, 2023

Estimate: ☐

For the remainder of the survey, those whose most serious offense is a felony will be referred to as "adults on felony probation" and those whose most serious offense is a misdemeanor will be referred to as "adults on misdemeanor probation." If an adult on probation had more than one type of charge, please report them in the category that represents their most serious offense.

3. Between January 1, 2023, and December 31, 2023, how many adults on felony or misdemeanor probation entered probation by the following? Do not include individuals already being supervised (reported above).

Select "Not applicable" if your agency did not supervise that type of adult on probation in 2023. Otherwise, please provide the number or enter 0. If an exact number is not available, please provide an estimate and check the "Estimate" box.

Adults on felony  
probation

Not Applicable: ☐

Adults on  
misdemeanor  
probation

Not Applicable: ☐

a. **Probation without incarceration.** Probation without incarceration is a sentence to probation with no jail or prison term.

Estimate: ☐

Estimate: ☐

b. **Probation with incarceration.** Probation with incarceration is a sentence to probation with a term of incarceration in jail or prison (e.g., those individuals who entered probation from a correctional facility). Include all split sentences to probation and incarceration.

Estimate: ☐

Estimate: ☐

c. **Other. Please describe:**



Estimate: ☐

Estimate: ☐

d. **Not known.**

Estimate: ☐

Estimate: ☐

e. **Total entries.** (Each total should equal the sum of Questions 3a-3d.)

Estimate: ☐

Estimate: ☐

As you answer the remaining questions, please continue to enter a response in each box. If an exact number is not available, please provide an estimate and check the "Estimate" box.

4. Between January 1, 2023, and December 31, 2023, how many adults on felony or misdemeanor probation were discharged from supervision for the following reasons?	Adults on felony probation discharged in 2023 Not Applicable: <input type="checkbox"/>	Adults on misdemeanor probation discharged in 2023 Not Applicable: <input type="checkbox"/>
a. <b>Completions</b> (Adults on probation who completed their sentence and were discharged or who were discharged early.)	<input type="text"/> Estimate: <input type="checkbox"/>	<input type="text"/> Estimate: <input type="checkbox"/>
b. <b>Returned to incarceration –</b>		
i. <b>With new sentence</b> (Includes all adults on probation and returned absconders removed from probation supervision because of incarceration due to a sentence for a new offense.)	<input type="text"/> Estimate: <input type="checkbox"/>	<input type="text"/> Estimate: <input type="checkbox"/>
ii. <b>With revocation, without new sentence</b> (Includes all adults on probation and returned absconders whose probation was revoked [e.g., violating a condition of their probation] and who were incarcerated under their current sentence.)	<input type="text"/> Estimate: <input type="checkbox"/>	<input type="text"/> Estimate: <input type="checkbox"/>
iii. <b>To receive treatment</b> (Includes all adults on probation incarcerated in order to receive any type of treatment.)	<input type="text"/> Estimate: <input type="checkbox"/>	<input type="text"/> Estimate: <input type="checkbox"/>
iv. <b>Other</b> (Includes adults on probation incarcerated pending a revocation, trial, sentencing, or others.) <b>Please describe:</b> <input type="text"/>	<input type="text"/> Estimate: <input type="checkbox"/>	<input type="text"/> Estimate: <input type="checkbox"/>
v. <b>Not known</b> (Includes adults on probation who have been incarcerated, for whom the reason was unknown.)	<input type="text"/> Estimate: <input type="checkbox"/>	<input type="text"/> Estimate: <input type="checkbox"/>
c. <b>Absconder</b> (Refers to absconders who have been discharged or are no longer in your system.)	<input type="text"/> Estimate: <input type="checkbox"/>	<input type="text"/> Estimate: <input type="checkbox"/>
d. <b>Discharged to a warrant or retainer</b>	<input type="text"/> Estimate: <input type="checkbox"/>	<input type="text"/> Estimate: <input type="checkbox"/>
e. <b>Transferred to another probation agency</b> (Refers to adults on probation transferred to another agency if they are no longer in your record system.)	<input type="text"/> Estimate: <input type="checkbox"/>	<input type="text"/> Estimate: <input type="checkbox"/>
f. <b>Death</b>	<input type="text"/> Estimate: <input type="checkbox"/>	<input type="text"/> Estimate: <input type="checkbox"/>
g. <b>Other discharges –</b>		
i. <b>Other completions</b> (Completions which did not fully meet the definition of Question 4a.) <b>Please describe:</b> <input type="text"/>	<input type="text"/> Estimate: <input type="checkbox"/>	<input type="text"/> Estimate: <input type="checkbox"/>
ii. <b>Unsatisfactory</b> (Adults on probation who did not fulfill all conditions of their supervision or violated the conditions of their supervision but were not incarcerated [Question 4b] or discharged to a warrant or detainer [Question 4d]. Exclude absconders [Question 4c].) <b>Please describe:</b> <input type="text"/>	<input type="text"/> Estimate: <input type="checkbox"/>	<input type="text"/> Estimate: <input type="checkbox"/>
h. <b>Other. Please describe:</b> <input type="text"/>	<input type="text"/> Estimate: <input type="checkbox"/>	<input type="text"/> Estimate: <input type="checkbox"/>
i. <b>Not known</b>	<input type="text"/> Estimate: <input type="checkbox"/>	<input type="text"/> Estimate: <input type="checkbox"/>
j. <b>Total discharges</b> (Each total should equal the sum of Questions 4a-4i.)	<input type="text"/> Estimate: <input type="checkbox"/>	<input type="text"/> Estimate: <input type="checkbox"/>

5. On December 31, 2023, how many of the following types of adults on probation was your agency supervising? If your agency was supervising individuals who had more than one type of charge, please report them in the category that represents their most serious offense.

*The number of adults on felony or misdemeanor probation should equal the population on January 1 [Question 2], plus the total entering probation in 2023 [Question 3e], minus the total discharged from probation in 2023 [Question 4j].*

Adults on  
felony probation  
supervised on  
December 31, 2023

Estimate: ☐

Adults on  
misdemeanor probation  
supervised on  
December 31, 2023

Estimate: ☐

Adults on other  
types of probation  
supervised on  
December 31, 2023

Estimate: ☐

Adults on unknown  
type of probation  
supervised on  
December 31, 2023

Estimate: ☐

6. Does the total population of adults on felony probation on December 31, 2023 (reported in Question 5) represent a count of adults on felony probation or cases?

- ☐ Not applicable (no adults on felony probation reported) → **Go to Question 8**  
☐ Adults on felony probation → **Go to Question 8**  
☐ Cases

7. About how many adults on felony probation do these cases represent?

Estimate: ☐

8. Does the total population of adults on misdemeanor probation on December 31, 2023 (reported in Question 5) represent a count of adults on misdemeanor probation or cases?

- ☐ Not applicable (no adults on misdemeanor probation reported) → **Go to Question 10**  
☐ Adults on misdemeanor probation → **Go to Question 10**  
☐ Cases

9. About how many adults on misdemeanor probation do these cases represent?

Estimate: ☐

10. On December 31, 2023, how many of the adults on felony or misdemeanor probation (reported in Question 5), regardless of conviction status, had as their most serious offense –

	<u>Adults on felony probation</u> Not Applicable: <input type="checkbox"/>	<u>Adults on misdemeanor probation</u> Not Applicable: <input type="checkbox"/>
a. <b>Domestic violence.</b> Include abuse, cruelty, abandonment, or threat to a spouse, intimate partner, or dependent child. Exclude offenses reported in Questions 10b and 10c.	<input type="text"/> <i>Estimate:</i>	<input type="text"/> <i>Estimate:</i>
b. <b>Sex offense.</b> Include any forcible or nonforcible sex act. Exclude prostitution, commercialized vice, and offenses reported in Questions 10a and 10c.	<input type="text"/> <i>Estimate:</i>	<input type="text"/> <i>Estimate:</i>
c. <b>Other violent offense.</b> Include murder, manslaughter, robbery, kidnapping, assault, and other violent offenses. Exclude offenses reported in Questions 10a and 10b.	<input type="text"/> <i>Estimate:</i>	<input type="text"/> <i>Estimate:</i>
d. <b>Property offense.</b> Include burglary, larceny, motor vehicle theft, fraud, and other property offenses.	<input type="text"/> <i>Estimate:</i>	<input type="text"/> <i>Estimate:</i>
e. <b>Drug law violation.</b> Include unlawful possession, sale, use, distribution, importation, growing, or manufacturing of narcotic drugs.	<input type="text"/> <i>Estimate:</i>	<input type="text"/> <i>Estimate:</i>
f. <b>Driving while intoxicated or under the influence of alcohol or drugs.</b>	<input type="text"/> <i>Estimate:</i>	<input type="text"/> <i>Estimate:</i>
g. <b>Other traffic offense.</b> Exclude offenses reported in Question 10f.	<input type="text"/> <i>Estimate:</i>	<input type="text"/> <i>Estimate:</i>
h. <b>Other offense. Please describe:</b> <input type="text"/>	<input type="text"/> <i>Estimate:</i>	<input type="text"/> <i>Estimate:</i>
i. <b>Not known.</b>	<input type="text"/> <i>Estimate:</i>	<input type="text"/> <i>Estimate:</i>
j. <b>Total.</b> (Each total should equal the sum of Questions 10a-10i and should also equal the number reported in Question 5.)	<input type="text"/> <i>Estimate:</i>	<input type="text"/> <i>Estimate:</i>

11. Does your agency's total probation population on December 31, 2023 (reported in Question 5) include any persons who were also under parole supervision, or who were held in jail, prison, a community-based correctional facility, or a U.S. Bureau of Immigration and Customs Enforcement (ICE) holding facility?

☐ Yes

☐ No → **Go to Question 13**

12. (If Question 11 = Yes) Of the adults on probation your agency was supervising on December 31, 2023 (reported in Question 5), how many adults on felony or misdemeanor probation were –

	<u>Adults on felony probation</u> Not Applicable: <input type="checkbox"/>	<u>Adults on misdemeanor probation</u> Not Applicable: <input type="checkbox"/>
a. On parole supervision.	<input type="text"/> Estimate: <input type="checkbox"/>	<input type="text"/> Estimate: <input type="checkbox"/>
b. In local jails. Include evening confinements.	<input type="text"/> Estimate: <input type="checkbox"/>	<input type="text"/> Estimate: <input type="checkbox"/>
c. In a state or federal prison.	<input type="text"/> Estimate: <input type="checkbox"/>	<input type="text"/> Estimate: <input type="checkbox"/>
d. In a community-based correctional facility.	<input type="text"/> Estimate: <input type="checkbox"/>	<input type="text"/> Estimate: <input type="checkbox"/>
e. In an ICE holding facility.	<input type="text"/> Estimate: <input type="checkbox"/>	<input type="text"/> Estimate: <input type="checkbox"/>

13. Of those on probation on December 31, 2023 (reported in Question 5), how many adults on felony or misdemeanor probation were placed on probation supervision through –

	<u>Adults on felony probation</u> Not Applicable: <input type="checkbox"/>	<u>Adults on misdemeanor probation</u> Not Applicable: <input type="checkbox"/>
a. Direct sentence to probation.	<input type="text"/> Estimate: <input type="checkbox"/>	<input type="text"/> Estimate: <input type="checkbox"/>
b. Split sentence. (Incarceration combined with probation.)	<input type="text"/> Estimate: <input type="checkbox"/>	<input type="text"/> Estimate: <input type="checkbox"/>
c. Suspended execution of sentence to incarceration. (Incarceration sentence imposed and then suspended.)	<input type="text"/> Estimate: <input type="checkbox"/>	<input type="text"/> Estimate: <input type="checkbox"/>
d. Suspended imposition of sentence. (Persons not fully adjudicated, e.g., probation before verdict.)	<input type="text"/> Estimate: <input type="checkbox"/>	<input type="text"/> Estimate: <input type="checkbox"/>
e. Other. Please describe: <input type="text"/>	<input type="text"/> Estimate: <input type="checkbox"/>	<input type="text"/> Estimate: <input type="checkbox"/>
f. Not known.	<input type="text"/> Estimate: <input type="checkbox"/>	<input type="text"/> Estimate: <input type="checkbox"/>
g. Total. (Each total should equal the sum of Questions 13a-13f and should also equal the number reported in Question 5.)	<input type="text"/> Estimate: <input type="checkbox"/>	<input type="text"/> Estimate: <input type="checkbox"/>

14. On December 31, 2023, how many of the adults on felony or misdemeanor probation (reported in Question 5) had a status of –

	<u>Adults on felony probation</u> Not Applicable: <input type="checkbox"/>	<u>Adults on misdemeanor probation</u> Not Applicable: <input type="checkbox"/>
a. <b>Active.</b> Include those required to regularly contact a probation authority in person, by mail, by telephone, or electronically.	<input type="text"/> Estimate: <input type="checkbox"/>	<input type="text"/> Estimate: <input type="checkbox"/>
b. <b>Inactive.</b>	<input type="text"/> Estimate: <input type="checkbox"/>	<input type="text"/> Estimate: <input type="checkbox"/>
c. <b>Total.</b> (Each total should equal the sum of Questions 14a-14b and should also equal the number reported in Question 5.)	<input type="text"/> Estimate: <input type="checkbox"/>	<input type="text"/> Estimate: <input type="checkbox"/>

15. On December 31, 2023, how many of the adults on felony or misdemeanor probation (reported in Question 5) had a status of –

	<u>Adults on felony probation</u> Not Applicable: <input type="checkbox"/>	<u>Adults on misdemeanor probation</u> Not Applicable: <input type="checkbox"/>
a. <b>Only have financial conditions remaining.</b>	<input type="text"/> Estimate: <input type="checkbox"/>	<input type="text"/> Estimate: <input type="checkbox"/>
b. <b>On supervised or administrative probation.</b>	<input type="text"/> Estimate: <input type="checkbox"/>	<input type="text"/> Estimate: <input type="checkbox"/>
c. <b>Absconder.</b> Include those still on probation but who have failed to report and cannot be located.	<input type="text"/> Estimate: <input type="checkbox"/>	<input type="text"/> Estimate: <input type="checkbox"/>
d. <b>Warrant status.</b>	<input type="text"/> Estimate: <input type="checkbox"/>	<input type="text"/> Estimate: <input type="checkbox"/>
e. <b>Supervised out of jurisdiction.</b> Include active and inactive adults on probation under your jurisdiction who are supervised by authorities of another jurisdiction.	<input type="text"/> Estimate: <input type="checkbox"/>	<input type="text"/> Estimate: <input type="checkbox"/>
f. <b>Other. Please describe:</b> <input type="text"/>	<input type="text"/> Estimate: <input type="checkbox"/>	<input type="text"/> Estimate: <input type="checkbox"/>
g. <b>Not known.</b>	<input type="text"/> Estimate: <input type="checkbox"/>	<input type="text"/> Estimate: <input type="checkbox"/>



16. Are the following population types included in the total number of adults on probation on December 31, 2023 (reported in Question 5)? If yes, please provide the number.

	Included in the total number of adults on probation (Question 5)?	→	(If yes) How many?
	No	Yes	
a. Adults on parole	<input type="radio"/>	<input type="radio"/> If yes →	<input type="text"/> Estimate: <input type="text"/>
b. Adults who have been released from prison to serve a period of time under community supervision	<input type="radio"/>	<input type="radio"/> If yes →	<input type="text"/> Estimate: <input type="text"/>
c. Adults on some other type of post-custody conditional release. Please describe: <input type="text"/>	<input type="radio"/>	<input type="radio"/> If yes →	<input type="text"/> Estimate: <input type="text"/>
d. Adults awaiting trial	<input type="radio"/>	<input type="radio"/> If yes →	<input type="text"/> Estimate: <input type="text"/>
e. Adults serving a term of pre-trial probation or other type of probation prior to conviction	<input type="radio"/>	<input type="radio"/> If yes →	<input type="text"/> Estimate: <input type="text"/>
f. Adults in prison	<input type="radio"/>	<input type="radio"/> If yes →	<input type="text"/> Estimate: <input type="text"/>
g. Adults in jail	<input type="radio"/>	<input type="radio"/> If yes →	<input type="text"/> Estimate: <input type="text"/>
h. Other offense. Please describe: <input type="text"/>	<input type="radio"/>	<input type="radio"/> If yes →	<input type="text"/> Estimate: <input type="text"/>

17. On December 31, 2023, how many of the adults on felony or misdemeanor probation your agency was supervising (reported in Question 5) were –

	<u>Adults on felony probation</u> Not Applicable: <input type="checkbox"/>	<u>Adults on misdemeanor probation</u> Not Applicable: <input type="checkbox"/>
a. Male	<input type="text"/> Estimate: <input type="text"/>	<input type="text"/> Estimate: <input type="text"/>
b. Female	<input type="text"/> Estimate: <input type="text"/>	<input type="text"/> Estimate: <input type="text"/>
c. Not known	<input type="text"/> Estimate: <input type="text"/>	<input type="text"/> Estimate: <input type="text"/>
d. Total (Each total should equal the sum of Questions 17a-17c and should also equal the number reported in Question 5.)	<input type="text"/> Estimate: <input type="text"/>	<input type="text"/> Estimate: <input type="text"/>

18. On December 31, 2023, how many of the adults on felony or misdemeanor probation your agency was supervising (reported in Question 5) were –

	<u>Adults on felony probation</u> Not Applicable: <input type="checkbox"/>	<u>Adults on misdemeanor probation</u> Not Applicable: <input type="checkbox"/>
a. <b>White (not of Hispanic origin).</b> A person having origins in any of the original peoples of Europe, the Middle East, or North Africa.	<input type="text"/> <i>Estimate:</i>	<input type="text"/> <i>Estimate:</i>
b. <b>Black or African American (not of Hispanic origin).</b> A person having origins in any of the black racial groups of Africa.	<input type="text"/> <i>Estimate:</i> <input type="checkbox"/>	<input type="text"/> <i>Estimate:</i> <input type="checkbox"/>
c. <b>Hispanic or Latino.</b> A person of Cuban, Mexican, Puerto Rican, South or Central American, or other Spanish culture or origin, regardless of race.	<input type="text"/> <i>Estimate:</i>	<input type="text"/> <i>Estimate:</i>
d. <b>American Indian/Alaska Native (not of Hispanic origin).</b> A person having origins in any of the original peoples of North and South America (including Central America) and who maintains tribal affiliation or community attachment).	<input type="text"/> <i>Estimate:</i> <input type="checkbox"/>	<input type="text"/> <i>Estimate:</i> <input type="checkbox"/>
e. <b>Asian (not of Hispanic origin).</b> A person having origins in any of the original peoples of the Far East, Southeast Asia, or the Indian subcontinent including, for example, Cambodia, China, India, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand, and Vietnam.	<input type="text"/> <i>Estimate:</i>	<input type="text"/> <i>Estimate:</i>
f. <b>Native Hawaiian/Other Pacific Islander (not of Hispanic origin).</b> A person having origins in any of the original peoples of Hawaii, Guam, Samoa, or other Pacific Islands.	<input type="text"/> <i>Estimate:</i> <input type="checkbox"/>	<input type="text"/> <i>Estimate:</i> <input type="checkbox"/>
g. <b>Two or more races (not of Hispanic origin).</b>	<input type="text"/> <i>Estimate:</i>	<input type="text"/> <i>Estimate:</i>
h. <b>Additional categories in your information system.</b> Please describe: <input type="text"/>	<input type="text"/> <i>Estimate:</i> <input type="checkbox"/>	<input type="text"/> <i>Estimate:</i> <input type="checkbox"/>
i. <b>Not known.</b>	<input type="text"/> <i>Estimate:</i>	<input type="text"/> <i>Estimate:</i>
j. <b>Total.</b> (Each total should equal the sum of Questions 18a-18i and should also equal the number reported in Question 5.)	<input type="text"/> <i>Estimate:</i> <input type="checkbox"/>	<input type="text"/> <i>Estimate:</i> <input type="checkbox"/>