# **U.S. Department of Justice**Office of Justice Programs Bureau of Justice Statistics



**Solicitation Title:** BJS FY24 NICS Act Record Improvement Program (NARIP)

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**Step 1: Application Grants.gov Deadline:** 5:00 p.m. Eastern Time on July 1, 2024 **Step 2: Application JustGrants Deadline:** 5:00 p.m. Eastern Time on July 8, 2024

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## **Synopsis**

#### **Program Description Overview**

The <u>U.S. Department of Justice</u> (DOJ), <u>Office of Justice Programs</u> (OJP), <u>Bureau of Justice</u> Statistics (BJS) is seeking applications for funding.

OJP is committed to advancing work that promotes civil rights and equity, increases access to justice, supports crime victims and individuals impacted by the justice system, strengthens community safety, protects the public from crime and evolving threats, and builds trust between law enforcement and the community.

With this solicitation, BJS seeks to enhance the completeness, automation, and transmittal of records to state and federal systems used by the National Instant Criminal Background Check System (NICS). Eligible states and tribes may receive grant funding to improve the completeness, automation, and transmittal of records to state and federal systems.

This program furthers the DOJ's mission to uphold the rule of law, to keep our country safe, and to protect civil rights. The solicitations for FY 2024 National Criminal History Improvement Program (NCHIP) and FY 2024 National Criminal History Improvement Program Supplemental Funding under the Bipartisan Safer Streets Act (NCHIPSFBSCA) were released on March 4, 2024.

#### Eligibility

- State governments Agencies designated by the governor of their respective state to administer NCHIP (34 U.S.C. § 40301)
- Native American tribal governments (federally recognized)
- Other: Courts The state central administrative office or similar entity designated by statute or regulation to administer federal grant funds on behalf of the jurisdiction's court system

BJS will consider applications under which two or more entities (project partners) would carry out the federal award; however, only one entity may be the applicant for the solicitation. Any others must be proposed as subrecipients (subgrantees). See the <u>Application Resource Guide</u> for additional information on subawards.

#### **Agency Contact Information**

For assistance with the requirements of this funding opportunity, contact Stephanie Burroughs, Chief of the Criminal Justice Data Improvement Programs Unit, at 202-307-0765 or by email at <a href="mailto:AskBJS@usdoj.gov">AskBJS@usdoj.gov</a>. Include "FY24NARIP" in the subject line.

For procedures related to unforeseen technical issues beyond the control of the applicant that impact submission by the deadlines, see the "How To Apply" section, <u>Experiencing Unforeseen Technical Issues</u>.

For assistance with submitting the <u>Application for Federal Assistance standard form (SF-424)</u> and a <u>Disclosure of Lobbying Activities (SF-LLL)</u> in Grants.gov, contact the Grants.gov Customer Support Hotline at 800-518-4726, 606-545-5035, <u>Grants.gov Customer Support.</u> or <u>support@grants.gov</u>. The Grants.gov Support Hotline is open 24 hours a day, 7 days a week, except on federal holidays.

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For technical assistance with submitting the **full application** in JustGrants, contact the JustGrants Service Desk at 833-872-5175 or <u>JustGrants.Support@usdoj.gov</u>. The JustGrants Service Desk operates from 7:00 a.m. to 9:00 p.m. ET Monday–Friday and from 9:00 a.m. to 5:00 p.m. ET on Saturday, Sunday, and federal holidays.

#### **Application Submission Information**

#### Registration

Before submitting an application, an applicant must have a registration in the <u>System for Award Management (SAM.gov)</u>.

#### **Submission**

Applications must be submitted to DOJ electronically through a two-step process that begins in Grants.gov and is completed in JustGrants. See the <u>Submission Dates and Time</u> section for the Grants.gov and JustGrants application deadlines.

**Step 1:** The applicant must register for this opportunity in Grants.gov at <a href="https://grants.gov/register">https://grants.gov/register</a> and submit by the Grants.gov deadline the required <a href="https://grants.gov/register">Application for Federal Assistance standard form (SF-424)</a> and a <a href="https://grants.gov/register">Disclosure of Lobbying Activities (SF-LLL)</a>. See the solicitation Submission Dates and Time section for application deadlines.

**Step 2:** The applicant must submit the **full application**, including attachments, in JustGrants at <u>JustGrants.usdoj.gov</u> by the JustGrants application deadline. See the Submission Dates and Time section for application deadlines.

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## **Program Description**

#### **Program Description Overview**

OJP is committed to advancing work that promotes civil rights and racial equity, increases access to justice, supports crime victims and individuals impacted by the justice system, strengthens community safety and protects the public from crime and evolving threats, and builds trust between law enforcement and the community.

The National Instant Criminal Background Check System (NICS) Improvement Amendments Act of 2007 (NIAA or Act), codified in relevant part at 34 U.S.C. 40912, addresses the gap in information available to the NICS about prohibiting mental health adjudications and commitments and other prohibiting factors. Filling these information gaps will better enable the system to operate as intended to keep firearms out of the hands of persons prohibited by federal or state law from receiving or possessing firearms. The automation of records also reduces delays for law-abiding persons to purchase firearms.

The NIAA authorized a grant program to assist states in providing certain information to the NICS and prescribes grant penalties for noncompliance with the NIAA's record completeness goals. Additionally, pursuant to the Act there are certain conditions, described under Eligibility Information, that a state must satisfy in advance of receiving grants under the Act.

BJS is publishing this notice to announce the continuation of the NICS Act Record Improvement Program (NARIP) in FY 2024, identify the program priorities, and provide information on application requirements. This year, BJS is prioritizing the use of funds for two purposes: (1) to enhance the completeness, automation, and transmittal of records to state and federal systems used by the NICS, and (2) to improve the overall reporting of persons prohibited from possessing firearms for reasons related to domestic violence and mental health to the NICS.

#### **Statutory Authority**

34 U.S.C. §§ 10132(c) and 34 U.S.C. § 40913.

#### **Specific Information**

Pursuant to 34 U.S.C. § 10132(c)(19), BJS is authorized to "provide for improvements in the accuracy, quality, timeliness, immediate accessibility, and integration of State and tribal criminal history and related records, support the development and enhancement of national systems of criminal history and related records including the National Instant Criminal Background Check System, the National Incident-Based Reporting System, and the records of the National Crime Information Center, facilitate State and tribal participation in national records and information systems, and support statistical research for critical analysis of the improvement and utilization of criminal history records." The National Criminal History Improvement Program (NCHIP), NCHIP Supplemental Funding, and the NARIP are three means by which BJS provides for such improvements.

The NARIP is authorized by the NIAA (34 U.S.C. § 40913), which provides that grants be made in a manner consistent with the NCHIP. Therefore, NARIP application procedures parallel the provisions of the Crime Identification Technology Act of 1998 (34 U.S.C. § 40301), which guides

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the NCHIP. Both the NCHIP and the NARIP were reauthorized under division S, title VI of the Consolidated Appropriations Act of 2018 (P.L. 115–141).

#### State Grants

Section 103 of the NIAA (codified at 34 U.S.C. 40913(a)) provides that the grants "shall be used by the States and Indian tribal governments, in conjunction with units of local government and State and local courts, to establish or upgrade information and identification technologies for firearms eligibility determinations." In accordance with the NIAA, a grant to a state (including territories may be used to—

- maintain the required relief from disabilities program in accordance with the NIAA (however, by statute, not less than 3% and no more than 10% of each grant shall be used for this purpose); and
- support efforts to share records to National Data Exchange System (N-DEx) for NICS purposes under 922 g(9) and g(4).; and
- policies, systems, and procedures to automate and transmit arrest and conviction records, court orders, and mental health adjudications or commitments to federal and state record repositories.

#### **Solicitation Goals and Objectives**

The authorizing provisions of this program require states to meet specific goals, ensuring the completeness of records submitted to the Attorney General that identify individuals prohibited by federal law to possess firearms.

#### Goals

This funding will assist states and tribes with finding ways to make more records available to the NICS, including records in the National Crime Information Center (NCIC), criminal history record information available through the Interstate Identification Index (III), and records in the NICS Indices. Therefore, through the funded activities, BJS expects that more records (including improved quality, completeness, and timeliness) will become available in these systems.

#### **Objectives**

The objectives of NARIP are as follows:

To improve the completeness, automation, and transmittal of records to state and federal systems used by the NICS. These records include criminal history, felony convictions, warrants, protective orders, convictions for misdemeanors involving domestic violence and stalking, drug arrests and convictions, mental health adjudications, and other information that may disqualify an individual from possessing or receiving a firearm under federal law.

Awards made under this solicitation will include a condition (the specific terms of which will govern the award) related to supplying records to the NICS. Generally speaking, the condition will require recipients that use the award for a project or program resulting in court dispositions, information, or other "eligible records" relevant to the NICS, or for a purpose of establishing or improving any of the foregoing, to promptly make available to the NICS or the state repository

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accessed by the NICS all such dispositions, information, and other "eligible records." Recipients will also update, correct, modify, or remove these records as appropriate.

#### **Deliverables**

Monthly Federal Bureau of Investigation (FBI) maintained data on record submissions in the NICS Indices, NCIC, and III are provided to BJS to assist with measuring state progress toward program goals and objectives. In addition, the program supports a biennial survey of state criminal history information systems to further assess progress by directly surveying state record repositories.

BJS plans to develop a data dashboard for tracking statistical data and criminal history information from applications and awards funded under NCHIP, NCHIPSFBSCA, and NARIP. Based on the information entered into the dashboard, graphs, charts, and tables will be created and used to inform DOJ, OJP, and other stakeholders how program funds are being used and assess the long- and short-term goals of each program.

For information about what the applicant needs to submit regarding Goals, Objectives, and Deliverables, please see the <u>How to Apply section on the Application Goals, Objectives, Deliverables, and Timeline Web-Based Form</u>.

#### **Federal Award Information**

#### **Awards, Amounts, and Durations**

Anticipated Number of Awards: 20

Anticipated Maximum Dollar Amount per Award: No maximum amount

Period of Performance Start Date: As early as October 1, 2024, to as late as January 1, 2025

Period of Performance Duration (Months): 12 to 24 months

Anticipated Total Amount To Be Awarded Under This Solicitation: \$25,000,000

#### **Continuation Funding Intent**

OJP may, in certain cases, provide additional funding in future years to awards made under this funding opportunity through continuation awards. OJP will consider, among other factors, OJP's strategic priorities, a recipient's overall management of the award, and the progress of the work funded under the award when making continuation award decisions.

#### **Availability of Funds**

This funding opportunity, and awards made under this funding opportunity, are subject to the availability of funding and to any changes or additional requirements that may be imposed by the agency or by law. In addition, nothing in this solicitation is intended to, and does not, create any right or benefit, substantive or procedural, enforceable at law or in equity by any party against the United States, its departments, agencies, or entities, its officers, employees, or agents, or any other person.

#### Type of Award

OJP expects to make awards under this funding opportunity as cooperative agreements, which provide for OJP to have substantial involvement in carrying out award activities. See the "<u>Administrative, National Policy, and Other Legal Requirements</u>" section of the <u>Application Resource Guide</u> for additional information.

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#### **Cost Sharing or Matching Requirement**

This funding opportunity does not require a match.

#### **Eligibility Information**

The NARIP application must be submitted by (a) the agency designated by the governor in each state to administer the NCHIP/NARIP, (b) the state or territory central administrative office or similar entity designated by statute or regulation to administer federal grant funds on behalf of the jurisdiction's court system, or (c) federally recognized Indian tribal governments.

In accordance with the NIAA, each state must satisfy these conditions to receive grants:

- 1. First, "each State shall provide the Attorney General with a reasonable estimate, as calculated by a method determined by the Attorney General...of the number of the records" subject to the NIAA completeness requirements. The last round of estimates was collected in 2011. States also may meet this eligibility by submitting a NICS Implementation Plan as outlined in the reauthorization of the NIAA, codified at 34 U.S.C. § 40917. Applicants under this solicitation should confirm with BJS whether this eligibility criterion has been met.
- 2. Second, "to be eligible for a grant under this [program], a State shall certify, to the satisfaction of the Attorney General, that the State has implemented a relief from disabilities program." 34 U.S.C. § 40913(c). For the purpose of this solicitation, a "relief from disabilities program" is a program that permits persons who have been adjudicated a mental defective or committed to a mental institution to obtain relief from the firearms disabilities imposed by law as a result of such adjudication or commitment. This relief must be based on a finding, in accordance with principles of due process, by a state court, board, commission, or other lawful authority, that the circumstances of the disability and the person's record and reputation are such that the person will not be likely to act in a manner dangerous to the public safety and that the granting of relief would not be contrary to the public interest. Denials of such relief must be subject to de novo judicial review. The certification form that must be used is available on the Bureau of Alcohol, Tobacco, Firearms, and Explosives (ATF) website at <a href="https://www.atf.gov/files/forms/download/atf-f-3210-12.pdf">https://www.atf.gov/files/forms/download/atf-f-3210-12.pdf</a>.

Further, applications submitted on behalf of state court systems must specifically assure that (1) the court system has the capability to contribute and will transmit pertinent information to the NICS established under section 103(b) of the Brady Handgun Violence Prevention Act (34 U.S.C. § 40901), and (2) it will coordinate the programs proposed for NARIP funding with other federally funded information technology programs, including directly funded local programs.

For the purposes of this notice of funding opportunity, "state" means any state of the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands.

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## **How To Apply**

#### **Application Resources**

When preparing and submitting an application, the following resources may aid prospective applicants:

- 1. Grants.gov "How to Apply for Grants"
- 2. OJP "How To Apply" section in the Application Resource Guide
- 3. JustGrants Application Submission Training

This solicitation (notice of funding opportunity) incorporates guidance provided in the OJP Grant Application Resource Guide (Application Resource Guide), which provides additional information for applicants to prepare and submit applications to OJP for funding. If this solicitation requires something different from any guidance provided in the Application Resource Guide, the difference will be noted in this solicitation and the applicant is to follow the guidelines in this solicitation, rather than the guidance in the Application Resource Guide that is in conflict.

#### **How To Apply**

#### Registration

Before submitting an application, all applicants must register with the System for Award Management (SAM.gov). An applicant must renew their registration every 12 months. If an applicant does not renew their SAM.gov registration, it will expire. An expired registration can delay or prevent application submission in Grants.gov and JustGrants. Applicants are encouraged to start the SAM.gov registration process at least 30 days prior to the application deadlines. Applicants who fail to begin the registration or renewal process at least 10 business days prior to the Grants.gov deadline may not complete the process in time and will not be considered for late submission.

#### **Submission**

Applications must be submitted to DOJ electronically through a two-step process that begins in Grants.gov and is completed in JustGrants.

Step 1: After registering with SAM.gov, the applicant must submit the SF-424 and SF-LLL in Grants.gov at <a href="https://grants.gov/register">https://grants.gov/register</a> by the Grants.gov deadline. To leave time to address any technical issues that may arise, an applicant should submit the SF-424 and SF-LLL as early as possible and recommended not later than 48 hours before the Grants.gov deadline. If an applicant fails to submit in Grants.gov by the deadline, they will be unable to apply in JustGrants. Applicants can confirm Grants.gov submission by verifying their application status shows as "submitted" or "agency tracking number assigned."

**Step 2:** The applicant must then submit the **full application**, including attachments, in JustGrants at <u>JustGrants.usdoj.gov</u> by the JustGrants deadline.

OJP recommends that applicants submit the complete application package in JustGrants at least 48 hours prior to the JustGrants deadline. Some of the required sections of the application will be entered directly into JustGrants, and other sections will require documents to be uploaded and attached. Therefore, applicants should allow enough time before the JustGrants deadline to prepare all the requirements of the application. Applicants may save their progress

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in the system and add to or change the application as needed prior to hitting the "Submit" button at the end of the application in JustGrants.

An applicant will receive emails when successfully submitting in Grants.gov and JustGrants and should maintain all emails and other confirmations received from SAM.gov, Grants.gov, and JustGrants systems.

For additional information, see the "How To Apply" section in the <u>Application Resource Guide</u> and the DOJ Application Submission Checklist.

#### **Submission Dates and Time**

The SF-424 and the SF-LLL must be submitted in Grants.gov by 5:00 p.m. ET on July 1, 2024.

The **full application** must be submitted in JustGrants by 5:00 p.m. ET on July 8, 2024.

To be considered timely, the **full application** must be submitted in JustGrants by the JustGrants application deadline. Failure to begin the SAM.gov, Grants.gov, or JustGrants registration and application process in sufficient time (i.e., waiting until the due dates identified in this solicitation for those systems to begin the application steps) is not an acceptable reason to request a technical waiver.

# **Experiencing Unforeseen Technical Issues Preventing Submission of an Application** (Technical Waivers)

OJP will only consider requests to submit an application after the deadline when the applicant can document that a technical issue with a government system prevented submission of the application on time.

If an applicant misses a deadline due to unforeseen technical issues with SAM.gov, Grants.gov, or JustGrants, the applicant may request a waiver to submit an application after the deadline. However, the waiver request will not be considered unless it includes documentation of attempts to receive technical assistance to resolve the issue prior to the application deadline. A tracking number is the most typical documentation and is generated when the applicant contacts the applicable service desks to report technical difficulties. Tracking numbers are generated automatically when an applicant emails the applicable service desks, and for this reason, long call wait times for support do not relieve the applicant of the responsibility of getting a tracking number.

An applicant experiencing technical difficulties must contact the associated service desk indicated below to report the technical issue and receive a tracking number:

- SAM.gov: contact the <u>SAM.gov Help Desk (Federal Service Desk)</u>, Monday–Friday from 8:00 a.m. to 8:00 p.m. ET at 866-606-8220.
- Grants.gov: contact the <u>Grants.gov Customer Support Hotline</u>, 24 hours a day, 7 days a
  week, except on federal holidays, at 800-518-4726, 606-545-5035, or
  <u>support@grants.gov</u>.
- JustGrants: contact the JustGrants Service Desk at <u>JustGrants.Support@usdoj.gov</u> or 833-872-5175, Monday–Friday from 7:00 a.m. to 9:00 p.m. ET and Saturday, Sunday, and federal holidays from 9:00 a.m. to 5:00 p.m. ET.

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If an applicant has technical issues with SAM.gov or Grants.gov, the applicant must contact <a href="mailto:AskBJS@usdoj.gov">AskBJS@usdoj.gov</a> and the OJP Response Center at <a href="mailto:grants@ncjrs.gov">grants.gov</a> within **24 hours of the Grants.gov deadline** to request approval to submit after the deadline.

If an applicant has technical issues with JustGrants that prevent application submission by the deadline, the applicant must contact <a href="mailto:AskBJS@usdoj.gov">AskBJS@usdoj.gov</a> and the OJP Response Center at <a href="mailto:grants@ncjrs.gov">grants@ncjrs.gov</a> within 24 hours of the JustGrants deadline to request approval to submit after the deadline.

Waiver requests sent to the OJP Response Center and ASKBJS must—

- describe the technical difficulties experienced (provide screenshots if applicable)
- include a timeline of the applicant's submission efforts (e.g., date and time the error occurred, date and time of actions taken to resolve the issue and resubmit; and date and time support representatives responded)
- include an attachment of the complete grant application and all the required documentation and materials
- include the applicant's Unique Entity Identifier (UEI
- include any SAM.gov, Grants.gov, and JustGrants Service Desk tracking numbers documenting the technical issue.

OJP will review each waiver request and the required supporting documentation and notify the applicant whether the request for late submission has been approved or denied. An applicant that does not provide documentation of a technical issue (including all information listed above), or that does not submit a waiver request within the required time period, will be denied.

For more details on the waiver process, OJP encourages applicants to review the "Experiencing Unforeseen Technical Issues" section in the <u>Application Resource Guide</u>.

#### **Application and Submission Information**

#### **Content of Application Submission and Available Surveys**

The following application elements **must** be included in the application to meet the basic minimum requirements to advance to peer review and receive consideration for funding:

- SF-424 and SF-LLL (in Grants.gov)
- Proposal Abstract (in JustGrants)
- Proposal Narrative (in JustGrants)
- Budget Web-Based Form, which includes the budget details and the budget narrative (in JustGrants)

If OJP determines that an application does not include these elements, it will not proceed to peer review and will not receive any further consideration.

#### Content of the SF-424 in Grants.gov

The SF-424 must be submitted in Grants.gov. It is a required standard form used as a cover sheet for submission of pre-applications, applications, and related information. See the Application Resource Guide for additional information on completing the SF-424.

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In Section 8F of the SF-424, please include the name and contact information of the individual **who will complete the application in JustGrants**. JustGrants will use this information (*email address*) to assign the application to this user in JustGrants.

Intergovernmental Review: This funding opportunity is not subject to Executive Order (E.O.) 12372. In completing the SF-424, an applicant should answer question 19 by selecting "Program is not covered by E.O. 12372."

#### Content of the JustGrants Application Submission Entity and User Verification (First Time Applicant)

For first time JustGrants applicants, once the application is received from Grants.gov, DOJ will send an email (from DIAMD-NoReply@usdoj.gov) to the individual listed in Section 8F of the SF-424 with instructions on how to create a JustGrants account. This email should arrive within 24 hours after this individual receives confirmation from Grants.gov of their SF-424 and SF-LLL submissions. Register the Entity Administrator (the person who manages who can access JustGrants on behalf of the applicant), the Application Submitter, and Authorized Representative for the applicant with JustGrants as early as possible and (recommended) not later than 48-72 hours before the JustGrants deadline. Once registered in JustGrants, the Application Submitter will receive a link in an email to complete the rest of the application in JustGrants. Find additional information on JustGrants Application Submission in the Application Resource Guide.

#### **Standard Applicant Information**

The "Standard Applicant Information" section of the JustGrants application is pre-populated with the SF-424 data submitted in Grants.gov. The applicant will need to review the Standard Applicant Information in JustGrants and make edits as needed. Within this section, the applicant will need to add ZIP codes for areas affected by the project; confirm their Authorized Representative; and verify and confirm the organization's unique entity identifier, legal name, and address.

#### Proposal Abstract

A Proposal Abstract (no more than 400 words) summarizing the proposed project—including its purpose, primary activities, expected outcomes, the service area, intended beneficiaries, and subrecipients (if known)—must be completed in the JustGrants web-based form. This abstract should be in paragraph form without bullets or tables, written in the third person, and exclude personally identifiable information. Abstracts will be made publicly available on the OJP and USASpending.gov websites if the project is awarded. See the <a href="Application Resource Guide">Application Resource Guide</a> for an <a href="example">example</a> of a proposal abstract.

#### **Data Requested With Application**

The following application elements should be submitted in the web-based forms in JustGrants.

# Financial Management and System of Internal Controls Questionnaire (including Applicant Disclosure of High Risk Status)

The Financial Management and System of Internal Controls Questionnaire helps OJP assess the financial management and internal control systems, and the associated potential risks of an applicant as part of the pre-award risk assessment process. Every OJP applicant (other than an individual applying in their personal capacity, not representing an applicant organization) is

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required to complete the web-based Questionnaire form in JustGrants. See the <u>Application Resource Guide: Financial Management and System of Internal Controls Questionnaire (including Applicant Disclosure of High Risk Status)</u> for additional guidance on how to complete the form.

#### **Brief Applicant Entity Questionnaire**

The Office of Justice Programs (OJP) is collecting the following information to help assess its efforts to attract a broader range of applicants. These questions apply to the applicant entity and its work and scope only, and not to the specific project(s) being proposed within the application. Responses to the questions will not be considered in the application review process. The questions included in the questionnaire are available in the <a href="Standard Forms & Instructions: Brief Applicant Entity Questionnaire">Standard Forms & Instructions: Brief Applicant Entity Questionnaire</a>.

#### **Proposal Narrative**

The Proposal Narrative should be submitted as an attachment in JustGrants. The attached document should be double-spaced, using a standard 12-point size font; have no less than 1-inch margins; and should not exceed 30 numbered pages. If the Proposal Narrative fails to comply with these length restrictions, OJP may consider such noncompliance in peer review and in final award decisions. Tables, charts, and graphs can use fonts smaller than 12 point but must be included within the page limit.

The Proposal Narrative must include the following sections:

a. Statement of the Problem—Background and Identification of Needs
 <u>Accomplishments and progress on record improvement goals.</u> Applicants must provide a
 summary of the major accomplishments achieved with funding under the NARIP. This
 section should describe, in quantifiable terms if possible, results achieved and advances
 made in the past several years of NARIP funding (2018–2023). Applicants should
 specifically address accomplishments relating to participation in each of the national
 databases and initiatives (i.e., III, NICS, NCIC Protection Order File (POF), domestic
 violence records, mental health records, and Livescan/Automated Fingerprint
 Identification System capability). Where relevant, reference should be made to surveys
 and data quality audits. This section also should include any evaluative efforts
 undertaken to identify the key areas of weakness in the state's criminal record system
 since submission of previous NARIP applications. Tribal applicants should discuss
 progress related to record automation and improvement funded under the NARIP or
 other federal sources applicable to the above areas.

<u>Current status in specific subject areas.</u> Describe the status of the applicant's participation in each of the following subject areas in your application and include the current number of records in each system, where applicable:

 Dispositions available to the III (including felonies and domestic violence misdemeanor convictions). All applicants should discuss the percentage of state records with final dispositions or case outcomes linked to arrests and available at the time of a firearm background check. Applicants should provide information on efforts to improve reporting and availability at the national level. The application also should discuss the extent to which dispositions requested in

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connection with a NICS inquiry have not been provided within the required timeframe, identify any problems delaying instant responses to NICS inquiries, and identify proposed solutions to these problems.

- Provide the number and percentage of arrests (felonies and misdemeanors) available in the state criminal history repository missing final dispositions.
- Report the percentage of arrests (felonies and misdemeanors) missing final dispositions accessible to the III.
- NICS Indices and mental health record availability. All applications should discuss the extent to which the applicant provides information to the NICS Indices administered by the FBI. Applicants who do not submit information to this file should describe the prohibiting factors and any plans to overcome them. (Note: The Health Insurance Portability and Accountability Act is not considered to be a prohibiting factor.) Additionally, all applicants should indicate whether mental health records are checked either by the state point of contact (POC) or the FBI during a NICS check. Where mental health records are accessible, include the number of records currently available and any plans to improve availability. If mental health records are not currently accessible at the time of a background check, describe factors that limit or prohibit the exchange of mental health records.
  - Applicants should provide the current number of submissions, by prohibitor, in the NICS Indices. Counts for the following prohibitions should be addressed in the application:
    - Felony conviction
    - Active felony indictment/information
    - Fugitive from justice
    - Controlled substance
    - Protection/restraining order
    - Misdemeanor crime of domestic violence
    - State prohibitor.
- Protection Order File (POF). All applicants should indicate whether they submit
  information for inclusion in the FBI's NCIC POF. Applicants who submit protection
  orders should indicate the number of active protection orders provided to the FBI.
  Applicants who do not submit all active protection orders to NCIC should describe
  the prohibiting factors and any plans to overcome them.
  - Provide the current number of records in the NCIC POF and a description of any known gaps in reporting.
- Warrants/wanted person records. All applicants should indicate whether they
  submit records for inclusion in the FBI's NCIC Wanted Persons File. Applicants who
  submit such records should indicate the number provided to the FBI for the past full
  calendar year. Applicants who do not submit such records (or do not submit all

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qualifying records that are maintained at the state or local level) should describe the prohibiting factors and any plans to overcome them.

Provide the current number of active warrants in the NCIC Wanted Persons
 File and a description of any known gaps in reporting.

#### b. Project Design and Description of Tasks to be Funded

Describe the activities to be conducted with NARIP funds during the project period and specifically address how activities relate to improving the identification and reporting of disqualifying records to the NICS.

- Include dollar amounts for each funded task.
- Provide quantitative measures to assess or describe the impact each project will have on the quality, completeness, and availability of records at the national level.
- Submit a detailed project period timeline covering all proposed activities.

#### c. Capabilities and Competencies—Coordination

The administering NARIP agency should coordinate efforts with relevant emergency management task forces and agencies to ensure that record development activities are compatible with security measures for preventing acts of terrorism.

To encourage coordination and information sharing among criminal justice systems, all OJP awards that support information technology development are subject to a special condition requiring submission of a description of the project to the state information technology point of contact (POC), if designated. Submit a copy of the correspondence as part of "Additional Attachments" or send directly to BJS prior to fund drawdown.

The POC is not required to concur with the information technology project. This condition is intended to facilitate communication within the state.

Recipients of funds under this solicitation should ensure that any funded activities will be coordinated with related activities supported by OJP funding, including awards under OJP's Residential Substance Abuse Treatment Program, Drug Court Program, or Mentally III Offender Act Program. BJS is particularly interested in awards under these programs that may involve reportable records that can be shared with state and national record systems. To the extent feasible under state law and regulations, such records should be made available to the state criminal history record repository and federal files managed by the FBI, including the III, NCIC, and NICS Indices.

Tribal applicants should provide documentation that activities proposed for funding will be coordinated with tribal consortia, the state, or directly with the FBI.

**Unexpended funds.** The application should describe the specific reasons that previously awarded NARIP funds remain unexpended (if applicable) and include the current unexpended balance.

 Include the current status of open NARIP awards such as the current unexpended balance, projected end date, and description of any challenges or delays encountered, if applicable.

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**Compatibility with other systems.** The application should describe the extent to which proposed activities are compatible with NIBRS, NCIC, NICS, Next Generation Identification (NGI), and other applicable statewide or regional criminal justice information sharing standards or plans, including state anti-terrorism.

#### d. NICS Improvement Plan

FY 2024 NARIP applications should include funding, as needed, for the development and/or modification of a comprehensive, long-range records improvement plan through a four-step process:

**Step 1. Establishment of a NICS Record Improvement Task Force.** Complete reporting and transmission of state or tribal records to national files may be achieved only through the cooperative efforts of all record-originating entities. Therefore, BJS recommends that the applicant establish or continue the work of a NICS Record Improvement Task Force to guide the development and implementation of a long-range records improvement plan. The task force should include representatives from the central record repository and source agencies, including state, local, and tribal law enforcement; prosecuting attorneys; the courts; local jails; state correctional facilities; probation and parole agencies; and state mental health program agencies.

The task force can review the results of the assessment and problem identification phases (steps 2 and 3) and develop recommendations to improve the quality, completeness, and availability of NICS records. It can review and assess the adequacy of current legislation and administrative procedures related to reporting, maintaining, sharing, and use of relevant records. The preliminary plan should include a list of proposed task force members and the agencies they represent. If components of the system listed above are not included in the task force, the plan should describe how they can participate and provide input. If a task force will not be established, the applicant should propose instituting other mechanisms for input from and participation of all affected components of the criminal justice system.

**Step 2.** Assessment of the quality, completeness, and availability of NICS records. For the purposes of long-term strategic planning, an applicant may wish to use the task force to examine (and address) issues surrounding the accuracy, completeness, and availability of such records as part of its assessment. The ongoing assessment must result in a comprehensive understanding of the following:

- Availability of the records from entities that originate, maintain, and/or receive such records
- How relevant records are currently transmitted to the state's central repository and/or how they are otherwise made available to the NICS
- Completeness of the records in the various categories described (what portion of the records is actually made available to the NICS).

Such an assessment may involve an examination of records in the state central record repository, surveys of local reporting/originating agencies, analysis of court statistics, data collection from sample(s) of local agency records, estimates derived from audits of local reporting agencies, or other analytical work that may or may not have been performed to support the development of record estimates.

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# Step 3. Identification of reasons for incomplete and/or unavailable NICS records. The task force may also identify challenges or obstacles encountered in efforts to ensure that all qualifying records are made available to the NICS. Understanding these challenges may help focus improvement strategies and may include factors such as the type and number of state, local, and tribal agencies that originally create such records and the typical life cycle of such original records, including when and where they are created, whether they are maintained in paper or electronic form, if and how they are transmitted to state and national files, and when and how they are ultimately disposed of, deleted, or otherwise made unavailable. The task force might identify factors that affect the availability of records for state and national files, including whether categories of records can be protected from disclosure under a provision of state, tribal, or federal

**Step 4. Development of a NICS Record Improvement Plan.** The final step develops strategies for addressing each of the challenges identified in step 3, including the use of federal grant funds appropriated under the NIAA. The plan should clearly identify goals, objectives, and a general timetable for achievements. Subsequent iterations of the plan should include a progress report on the strategies previously employed.

## e. Plan for Collecting the Data Required for This Solicitation's Performance Measures

Applicants should demonstrate knowledge of the performance measures outlined in this solicitation. The applicant should also detail how performance measures will be collected, who will be responsible for reporting on performance measures, and how the information will be used to guide and evaluate the impact of the project.

Note: An applicant is **not** required to submit performance data with the application. Rather, performance measure information is included to provide notice that award recipients will be required to submit performance data as part of each award's reporting requirements.

OJP will require each award recipient to submit regular performance data that show the completed work's results. The performance data directly relate to the solicitation goals and objectives identified in the "Goals and Objectives" section. Applicants can visit OJP's performance measurement page at <a href="https://www.ojp.gov/performance">www.ojp.gov/performance</a> for more information on performance measurement activities.

A list of performance measure questions for this program can be found in the <u>Performance Measures</u> section. Some measures are presented as examples, while others are the exact measures that every recipient will be expected to address.

BJS will require award recipients to submit performance measure data and performance reports in JustGrants. BJS will provide further guidance on the post-award submission process, if the applicant is selected for award.

#### Note on Project Evaluations

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An applicant that proposes to use award funds through this solicitation to conduct project evaluations must follow the guidance in the "<u>Note on Project Evaluations</u>" section in the Application Resource Guide.

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#### Application Goals, Objectives, Deliverables, and Timeline Web-Based Form

The applicant will submit the project's goals, objectives, deliverables and timeline in the JustGrants web-based form. This is a summary of the goals, objectives, deliverables, and timeline that relate to the proposal narrative. The applicant will also enter the projected fiscal year and quarter that each objective and deliverable will be completed. Please refer to the <u>Application Submission Job Aid</u> for step-by-step directions.

- **Goals:** The applicant should describe the project's intent to change, reduce, or eliminate the problem noted in the proposal narrative.
- **Program Objectives and Timeline:** The applicant should include objectives to accomplish its goals. Objectives are specific, measurable actions to reach the project's desired results. The included timelines that should be clearly linked to the goal.
- **Deliverables and Timeline:** Project deliverables refer to outputs—tangible (for example, a report or a website) or intangible (for example, greater feelings of safety reported by the community)—that are documented and submitted within the scope of a project. Deliverables include timelines.

#### Deliverables Expected by Successful Applicants

Program objectives and deliverables should be included in one timeline.

#### **Budget and Associated Documentation**

In addition to the required web-based budget, please attach a separate budget detail and narrative to the application for subrecipient requests.

All recipients and subrecipients (including any for-profit organization) must forgo any profit or management fee.

#### Budget Detail and Narrative Web-Based Form

The applicant will complete the JustGrants web-based budget form, which includes both detailed calculations and budget narrative sections.

For additional information about how to prepare a budget for federal funding, see the "Application Resource Guide" section on <u>Budget Preparation and Submission Information</u> and the technical steps to complete the budget form in JustGrants in the <u>Complete the Application in JustGrants: Budget</u> training.

#### Prior Approval, Planning, and Reporting of Conference/Meeting/Training Costs

OJP strongly encourages every applicant that proposes to use award funds for any conference, meeting-, or training-related activity (or similar event) to review carefully—before submitting an application—the <a href="Application Resource Guide">Application Resource Guide</a> for information on prior approval, planning, and reporting of conference/meeting/training costs.

#### Costs Associated With Language Assistance (if applicable)

If an applicant proposes a program or activity that would deliver services or benefits to individuals, the costs of taking reasonable steps to provide meaningful access to those services or benefits for individuals with limited English proficiency may be allowable. See the <a href="Application Resource Guide">Application Resource Guide</a> for information on costs associated with language assistance.

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#### **Budget/Financial Attachments**

#### Pre-Agreement (Pre-Award) Costs (if applicable)

Pre-agreement costs are defined as costs requiring approval incurred by the applicant prior to the start date of the period of performance of the federal award. OJP does not typically approve pre-agreement costs. If a successful applicant, in anticipation of the Federal award, but before the start date of the period of performance, incurs costs which are necessary for efficient and timely performance of the funded project, those costs may not be charged to the award. See the "Costs Requiring Prior Approval" section in the <a href="DOJ Grants Financial Guide Post-Award Requirements">DOJ Grants Financial Guide Post-Award Requirements</a> for more information.

#### Indirect Cost Rate Agreement (if applicable)

Indirect costs are costs of an organization that are not readily assignable to a particular project, but are necessary to the operation of the organization and the performance of the project. Examples of costs usually treated as indirect include those incurred for facility operation and maintenance, depreciation, and administrative salaries. The requirements for the development and submission of indirect cost proposals and cost allocation plans are listed in Appendices III – VII of 2 C.F.R. Part 200. A non-federal applicant should follow the guidelines applicable to its type of organization. If applicable, an applicant with a current federally approved indirect cost rate agreement will upload it as an attachment in JustGrants. See the <a href="DOJ Financial Guide">DOJ Financial Guide</a> for additional information on <a href="Indirect Cost Rate Agreement">Indirect Cost Rate Agreement</a>.

#### Consultant Rate (if applicable)

Costs for consultant services require prior approval from OJP. If the proposed project expects to fund consultant services, compensation for individual consultant services is to be reasonable and consistent with that paid for similar services in the marketplace. See the <a href="DOJ Grants">DOJ Grants</a> Financial Guide for information on the consultant rates, which require prior approval from OJP.

## Limitation on Use of Award Funds for Employee Compensation for Awards Over \$250,000; Waiver (if applicable)

If an applicant proposes to hire employees with Federal award funds, for any award of more than \$250,000 made under a funding opportunity, an award recipient may not use federal funds to pay total cash compensation (salary plus cash bonuses) to any employee of the recipient at a rate that exceeds 110 percent of the maximum annual salary payable to a member of the federal government's Senior Executive Service (SES) at an agency with a Certified SES Performance Appraisal System for that year. See the <a href="Application Resource Guide">Application Resource Guide</a> for information on the "Limitation on Use of Award Funds for Employee Compensation for Awards over \$250,000; Waiver."

#### Disclosure of Process Related to Executive Compensation (if applicable)

This notice of funding opportunity expressly modifies the Application Resource Guide by not incorporating its "Disclosure of Process Related to Executive Compensation" provisions. Applicants to this funding opportunity are not required to provide this disclosure.

#### **Additional Application Components**

The following documents should be submitted either as a single file attachment or as separate attachments:

 A copy of the approved application for certification of the state's relief from disabilities program or indication that certification is being sought from the ATF. (The certification form must be approved by ATF to be eligible for funding.)

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• A complete project timeline outlining each activity, completion time, and responsible party. The applicant will attach the additional requested documentation in JustGrants.

The applicant will attach the additional requested documentation in JustGrants.

#### Curriculum Vitae or Resumes (if applicable)

Provide resumes of key personnel who will work on the proposed project.

#### Tribal Authorizing Resolution (if applicable)

An application in response to this solicitation may require inclusion of tribal authorizing documentation as an attachment. If applicable, the applicant will upload the tribal authorizing documentation as an attachment in JustGrants. See the <u>Application Resource Guide</u> for information on tribal authorizing resolutions.

#### Memoranda of Understanding (MOUs) and Other Supportive Documents (if applicable)

Applicants should include for each named partner, a signed Memorandum of Understanding (MOU), letter of intent, letter of support, or subcontract that confirms the partner's agreement to support the project through commitments of staff time, space, services, or other project needs. For applications submitted from two or more entities, applicants are encouraged to develop and submit signed MOUs or signed letters of intent that provide a detailed description of how the agencies will work together to meet project requirements.

Each MOU or letter of intent should include the following: (1) names of the organizations involved in the agreement; (2) what service(s) and other work will be performed under the agreement by what organization; (3) duration of the agreement.

Each letter of support may include the following: (1) a description of the relationship between the applicant entity and the supporting entity; (2) a description of the need and what benefits would be gained from the project; (3) a description of the applicant's capacity to complete the proposed project. Letters of support should be signed

Subcontracts, MOUs, letters of intent, or letters of support should be submitted as one separate attachment to the application.

#### Research and Evaluation Independence and Integrity Statement (if applicable)

If an application proposes research (including research and development) and/or evaluation, the applicant must demonstrate research/evaluation independence and integrity, including appropriate safeguards, before it may receive award funds. The applicant will upload documentation of its research and evaluation independence and integrity as an attachment in JustGrants. For additional information, see the <u>Application Resource Guide</u>.

#### Disclosures and Assurances

The applicant will address the following disclosures and assurances.

#### Disclosure of Lobbying Activities

The SF-LLL attachment that was completed and submitted in Grants.gov is attached to this section.

#### Applicant Disclosure of Duplication in Cost Items

To ensure funding coordination across grant making agencies, and to avoid unnecessary or inappropriate duplication among grant awards, the applicant will disclose if it has any pending applications for federal funding, including pending applications for subawards of federal funds.

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Complete the JustGrants web-based Applicant Disclosure of Duplication in Cost Items form. See the Application Resource Guide for additional information.

#### **DOJ Certified Standard Assurances**

Review and accept the DOJ Certified Standard Assurances in JustGrants. See the <u>Application</u> <u>Resource Guide</u> for additional information.

DOJ Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; Drug-Free Workplace Requirements; Law Enforcement and Community Policing Review and accept in JustGrants the DOJ Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; Drug-Free Workplace Requirements; Law Enforcement and Community Policing. See the <u>Application Resource Guide</u> for additional information.

#### Applicant Disclosure and Justification – DOJ High-Risk Grantees

If applicable, submit the DOJ High-Risk Disclosure and Justification as an attachment in JustGrants. A DOJ High-Risk Grantee is an award recipient that has received a DOJ High-Risk designation based on a documented history of unsatisfactory performance; financial instability; management system or other internal control deficiencies; noncompliance with award terms and conditions on prior awards or is otherwise not responsible. See the <a href="Application Resource Guide">Application Resource Guide</a> for additional information.

#### **Application Review Information**

#### **Review Criteria**

#### Basic Minimum Review Criteria

OJP screens applications to ensure they meet the basic minimum requirements prior to conducting the peer review. Although specific requirements may vary, the following are requirements for all OJP solicitations:

- The application must be submitted by an eligible type of applicant.
- The application must be responsive to the scope of the solicitation.
- The application must include all items necessary to meet the basic minimum requirements.

#### Merit Review Criteria

In making decisions to award funds under this program, BJS will determine whether the application meets program requirements and whether the applicant is operating satisfactorily and conforms to all applicable federal and state requirements. The amount of the award will be based on the strength of the justification for what the applicant is seeking to do and accomplish during the award period. Applications that meet basic minimum requirements will be evaluated by peer reviewers using the following review criteria:

#### Statement of the Problem/Description of the Issue:

The extent to which the application—

- describes each proposed task in accordance with the priority program goals and how the proposed activities directly relate to the improvement of the NICS
- o proposes to directly address the goals and objectives of the program

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 addresses the scope of the need and identifies quantifiable measures to demonstrate how the funds will improve the quality, completeness, and accessibility of records at the national level, particularly regarding the NICS

#### • Project Design and Implementation:

The extent to which the application—

- addresses how proposed activities will increase the availability of records to systems queried by the NICS, including through federal and state criminal history records, the NCIC, and NICS Indices
- o includes dollar amounts for each proposed project
- demonstrates how the NICS Improvement Task Force is facilitating efforts to address gaps in record completeness and reporting
- includes a detailed project period timeline covering all the proposed projects to demonstrate the technical feasibility of the proposed task(s) and detailing the specific implementation plan to achieve the intended deliverables

#### • Capabilities and Competencies:

- Demonstrate progress in improving record quality by making relevant records available for national background checks and providing the reported number of records currently available in the national files.
- Fulfill goals of previous NARIP awards (or related criminal record improvement awards), including consideration of the total funds already awarded and expended funds from previous awards, if applicable.
- Demonstrate commitment to the national record system through membership in the III and participation in the FBI's National Fingerprint File and report the current status of development of criminal records.

#### Plan for Collecting the Data Required for this Solicitation's Performance Measures:

- Supply key performance measures for the award period.
- o Provide a sufficient plan for collecting and reporting these performance measures.

#### Budget:

 Provide a reasonable budget, including the basis of the estimates, nature of the proposed expenditures, and the relation of the expenditures to the priorities identified herein.

#### Other Review Criteria/Factors

Other important considerations for OJP include geographic diversity, strategic priorities, available funding, past performance, and the extent to which the Budget web-based form accurately explains project costs that are reasonable, necessary, and otherwise allowable under federal law and applicable federal cost principles. Costs are allowable when they are reasonable, allocable to, and necessary for the performance of the federal award, and when they comply with the funding statute and agency requirements (to include the conditions of the

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award), including the cost principles set out in the <u>Uniform Requirements 2 C.F.R. Part 200, Subpart E</u>.

#### Risk Criteria/Factors

Pursuant to the Part 200 Uniform Requirements, before award decisions are made, OJP also reviews information related to the degree of risk posed by the applicant. Among other things to help assess whether an applicant with one or more prior federal awards has a satisfactory record of performance, integrity, and business ethics, OJP checks whether the applicant is listed in SAM.gov as excluded from receiving a federal award.

In addition, if OJP anticipates that an award will exceed \$250,000 in federal funds, OJP also must review and consider any information about the applicant that appears in the non-public segment of the integrity and performance system accessible through SAM.gov.

Important note on Responsibility/Qualification Data (formerly FAPIIS): An applicant may review and comment on any information about its organization that currently appears in SAM.gov and was entered by a federal awarding agency. OJP will consider such comments by the applicant, in addition to the other information in SAM.gov, in its assessment of the risk posed by the applicant.

#### **Review Process**

Applications submitted under this solicitation that meet the basic minimum requirements will be evaluated for technical merit by BJS using the review criteria listed above. Absent explicit statutory authorization or written delegation of authority to the contrary, all final award decisions will be made by the BJS Acting Director, who may consider not only BJS recommendations, but also other factors as indicated in this section.

#### **Federal Award Administration Information**

#### **Federal Award Notices**

Generally, award notifications are made by the end of the current Federal fiscal year, September 30. See the <u>Application Resource Guide</u> for information on award notifications and instructions.

#### **Evidence-Based Programs or Practices**

OJP strongly encourages the use of data and evidence in policymaking and program development for criminal justice, juvenile justice, and crime victim services. For additional information and resources on evidence-based programs or practices (programs or practices that have been evaluated as effective), see the Application Resource Guide.

#### Information Regarding Potential Evaluation of Programs and Activities

OJP may conduct or support an evaluation of the projects and activities funded under this solicitation. For additional information on what should be included in the application, see the <u>Application Resource Guide</u> section entitled "Information Regarding Potential Evaluation of Programs and Activities."

#### Administrative, National Policy, and Other Legal Requirements

If selected for funding, in addition to implementing the funded project consistent with the OJP-approved application, the recipient must comply with all award conditions and all applicable requirements of federal statutes and regulations, including the applicable requirements referred to in the assurances and certifications executed in connection with award acceptance. For

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additional information on these legal requirements, see the "Administrative, National Policy, and Other Legal Requirements" section in the <u>Application Resource Guide</u>.

#### **Civil Rights Compliance**

If a successful applicant accepts funding from OJP—as a recipient of OJP funding—that award recipient must comply with certain federal civil rights laws that prohibit it from discriminating on the basis of race, color, national origin, sex, religion, or disability in how the recipient delivers its program's services or benefits and in its employment practices. The civil rights laws that may be applicable to the award include Title VI of the Civil Rights Act of 1964 (Title VI), the nondiscrimination provisions of the Omnibus Crime Control and Safe Streets Act of 1968 (Safe Streets Act), and Section 504 of the Rehabilitation Act of 1973. These and other federal civil rights laws are discussed in greater detail here: "Overview of Legal Requirements Generally Applicable to OJP Grants and Cooperative Agreements - FY 2024 Awards under the "Civil Rights Requirements" section, and additional resources are available from the OJP Office for Civil Rights.

Part of complying with civil rights laws that prohibit national origin discrimination includes recipients taking reasonable steps to ensure that people who are limited in their English proficiency (LEP) because of their national origin have meaningful access to a recipient's program and activity. An LEP person is one whose first language is not English and who has a limited ability to read, write, speak, or understand English. To help recipients meet this obligation to serve LEP persons, DOJ has published a number of resources, including a language access assessment and planning tool, which are available at https://www.lep.gov/language-access-planning. Additional resources are available at https://www.ojp.gov/program/civil-rights-office/limited-english-proficient-lep. If the award recipient proposes a program or activity that would deliver services or benefits to LEP individuals, the recipient may use grant funds to support the costs of taking reasonable steps (e.g., interpretation or translation services) to provide meaningful access. Similarly, recipients are responsible for ensuring that their programs and activities are readily accessible to qualified individuals with disabilities. Applicants for OJP funding must allocate grant funds or explain how other available resources will be used to ensure meaningful and full access to their programs. For example, grant funds can be used to support American Sign Language (ASL) interpreter services for deaf or hard of hearing individuals or the purchase of adaptive equipment for individuals with mobility or cognitive disabilities. For resources, see https://www.ada.gov/ or contact OJP.

#### **Financial Management and System of Internal Controls**

Award recipients and subrecipients (including recipients or subrecipients that are pass-through entities) must, as described in the Part 200 Uniform Requirements as set out at 2 C.F.R. 200.303, comply with standards for financial and program management. See the <a href="Application Resource Guide">Application Resource Guide</a> for additional information.

#### **Information Technology Security Clauses**

An application in response to this solicitation may require inclusion of information related to information technology security. See the Application Resource Guide for more information.

#### **General Information About Post-Federal Award Reporting Requirements**

In addition to the deliverables described in the "Program Description" section, all award recipients under this solicitation will be required to submit certain reports and data.

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Required reports. Award recipients must submit quarterly financial reports, semi-annual performance reports, final financial and performance reports, and, if applicable, an annual audit report in accordance with the Part 200 Uniform Requirements or specific award conditions. Future awards and fund drawdowns may be withheld if reports are delinquent (in appropriate cases, OJP may require additional reports).

See the <u>Application Resource Guide</u> for additional information on specific post-award reporting requirements, including performance measure data.

#### Federal Awarding Agency Contact(s)

For OJP contact(s), contact information for Grants.gov, and contact information for JustGrants, see the solicitation Synopsis.

#### Other Information

#### Freedom of Information and Privacy Act (5 U.S.C. §§ 552 and 552a)

See the <u>Application Resource Guide</u> for information on the Freedom of Information and Privacy Act (5 U.S.C. §§ 552 and 552a).

#### **Provide Feedback to OJP**

See the Application Resource Guide for information on how to provide feedback to OJP.

#### **Performance Measures**

r enormance measures				
Objective	Performance Measure(s)	Data Recipient Provides		
Ensure that the infrastructure is developed to connect the state's	Number of states and tribes participating or making records available to the NICS.	Total number of states and tribes participating or making records available to the NICS.		
and tribe's records systems to the national records	Number of records available in the NICS.	Number of state and tribal records made available for use by the NICS.		
systems operated by the FBI, including the NCIC, NGI, III,	Percentage of complete records made available to the NICS.	Number of complete records submitted by states and tribes made available to the NICS.		
and NICS Indices.	Percentage of applications for firearm transfers rejected due to data made available to the NICS.	Number of applications for firearm transfers.		
		Number of applications for firearm transfers rejected due to a domestic violence misdemeanor conviction.		
		Number of applications for firearm transfers rejected due to a mental health prohibitor.		

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#### **Application Checklist**

#### **BJS FY24 NICS Act Record Improvement Program (NARIP)**

This application checklist has been created as an aid in developing an application. For more information, reference the <u>OJP Application Submission Steps in the OJP Grant Application</u>
Resource Guide and the DOJ Application Submission Checklist.

#### **Pre-Application**

#### Before Registering in Grants.gov

Confirm your entity's registration in the <u>System Award Management (SAM.gov)</u>
 Registration is active through the solicitation period; submit a new or renewal registration in SAM.gov if needed (see <u>Application Resource Guide</u>).

#### Register in Grants.gov

- Acquire an Authorized Organization Representative (AOR) and a Grants.gov username and password (see Application Resource Guide).
- Acquire AOR confirmation from the E-Business Point of Contact (E-Biz POC) (see <u>Application Resource Guide</u>).

#### Find the Funding Opportunity

- Search for the funding opportunity in Grants.gov using the opportunity number, assistance listing number, or keyword(s).
- Access the funding opportunity and application package (see Step 7 in the <u>Application Resource Guide</u>).
- Sign up for Grants.gov email <u>notifications</u> (optional) (see <u>Application Resource Guide</u>).
- Read <u>Important Notice: Applying for Grants in Grants.gov</u> (about <u>browser compatibility</u> and special characters in file names).
- Read OJP policy and guidance on conference approval, planning, and reporting available at <a href="https://www.ojp.gov/funding/financialguidedoj/iii-postaward-requirements#6g3y8">https://www.ojp.gov/funding/financialguidedoj/iii-postaward-requirements#6g3y8</a> (see <a href="https://www.ojp.gov/funding/financialguidedoj/iii-postaward-requirements#6g3y8">https://www.ojp.gov/funding/financialguidedoj/iii-postaward-requirements#6g3y8</a> (

#### Review the Overview of Post-Award Legal Requirements

 Review the "Overview of Legal Requirements Generally Applicable to OJP Grants and Cooperative Agreements - FY 2024 Awards" in the OJP Funding Resource Center.

#### Review the Scope Requirement

• The federal amount requested is within the allowable limit(s) of \$25,000,000.

#### Review Eligibility Requirement

 Review the "<u>Eligibility</u>" section in the Synopsis and "<u>Eligibility Information</u>" section in the solicitation.

#### **Application Step 1**

After registering with SAM.gov, submit the SF-424 and SF-LLL in Grants.gov.

• In Section 8F of the SF-424, include the name and contact information of the individual who will complete the application in JustGrants and the SF-LLL in Grants.gov.

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Within 48 hours after the SF-424 and SF-LLL submission in Grants.gov, receive four (4) Grants.gov email notifications:

- A submission receipt.
- A validation receipt.
- A grantor agency retrieval receipt.
- An agency tracking number assignment.

If no Grants.gov receipt and validation email is received, or if error notifications are received:

 Contact BJS or Grants.gov Customer Support Hotline at 800-518-4726, 606-545-5035, <u>Grants.gov customer support</u>, or <u>support@grants.gov</u> regarding technical difficulties (see "Application Resource Guide" section on Experiencing Unforeseen Technical Issues).

Within 24 hours after receipt of confirmation emails from Grants.gov, the individual in Section 8F of the SF-424 will receive an email from JustGrants with login instructions.

• Proceed to Application Step 2 and complete application in JustGrants.

#### **Application Step 2**

Submit the following information in JustGrants:

#### **Application Components**

- Entity and User Verification (First Time Applicant)
- Standard Applicant information (SF-424 information from Grants.gov)
- Proposal Abstract\*
- Proposal Narrative\*
- Application Goals, Objectives, Deliverables, and Timeline web-based form

#### **Budget and Associated Documentation**

- Budget Detail Narrative and web-based form\*
- Financial Management and System of Internal Controls Questionnaire (see <u>Application</u> <u>Resource Guide</u>)
- Indirect Cost Rate Agreement (if applicable) (see <u>Application Resource Guide</u>)

#### **Additional Application Components**

- Tribal Authorizing Resolution (if applicable) (see <u>Application Resource Guide</u>)
- Research and Evaluation Independence and Integrity (see Application Resource Guide)
- Request and Justification for Employee Compensation; Waiver (if applicable)
   (see <u>Application Resource Guide</u>)
- Memorandum of Understanding (if applicable)
- Letters of Support (if applicable)
- Resumes of key personnel (if applicable)
- List of procurement contracts (if applicable)
- Organizational chart (if applicable)

#### Disclosures and Assurances

- Disclosure of Lobbying Activities (SF-LLL) (see Application Resource Guide)
- Applicant Disclosure of Duplication in Cost Items (see <u>Application Resource Guide</u>)
- DOJ Certified Standard Assurances (see Application Resource Guide)

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- DOJ Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; Drug-Free Workplace Requirements; Law Enforcement and Community Policing (see Application Resource Guide)
- Applicant Disclosure and Justification DOJ High-Risk Grantees (if applicable) (see Application Resource Guide)

\*Note: Items designated with an asterisk must be submitted for an application to meet the basic minimum requirements review. If OJP determines that an application does not include the designated items, it will neither proceed to peer review, nor receive further consideration.

#### Review, Certify, and Submit Application in JustGrants

- Any validation errors will immediately display on screen after submission.
- Correct validation errors, if necessary, and then return to the "Certify and Submit" screen
  to submit the application. Access the <u>Application Submission Validation Errors Quick</u>
  <u>Reference Guide</u> for step-by-step instructions to resolve errors prior to submission.
- Once the application is submitted and validated, a confirmation message will appear at the top of the page. Users will also receive a notification in the "bell" alerts confirming submission.

If no JustGrants application submission confirmation email or validation is received, or if error notification is received—

contact the JustGrants Service Desk at 833-872-5175 or <u>JustGrants.Support@usdoj.gov</u> regarding technical difficulties. See the <u>Application Resource Guide</u> for additional information.

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#### Standard Solicitation Resources

<u>Application Resource Guide</u> provides guidance to assist OJP grant applicants in preparing and submitting applications for OJP funding.

<u>DOJ Grants Financial Guide</u> serves as the primary reference manual to assist award recipients in fulfilling their fiduciary responsibility to safeguard grant funds and to ensure funds are used for the purposes for which they were awarded. It compiles a variety of laws, rules and regulations that affect the financial and administrative management of DOJ awards. This guide serves as a starting point for all award recipients and subrecipients of DOJ grants and cooperative agreements in ensuring the effective day-to-day management of awards.

<u>JustGrants Resources Website</u> is an entryway into information about JustGrants and the grants management system itself. Through this portal both award recipients and applicants can access training resource and user support options, find frequently asked questions, and sign up for the <u>JustGrants Update e-newsletter</u>.

<u>JustGrants Application Submission Training page</u> offers helpful information and resources on the application process. This training page includes e-learning videos, reference guides, checklists, and other resources to help applicants complete an application.

<u>Weekly Training Webinars</u> are advertised here and provide opportunities for users to receive topic-specific training, direct technical assistance, and support on JustGrants system functionality.

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