### General Information

- If you have any questions, contact FILL CONTRACTOR CONTACT INFORMATION HERE.
- Please complete the questionnaire before **February 28, 2021** using the web-reporting option at [www.bjs-aps.org](http://www.bjs-aps.org), by mailing the complete questionnaire to **CONTRACTOR** at the address above, or by faxing all pages toll-free to **1-XXX-XXX-XXXX**.

### Who is covered by this survey?

- **INCLUDE** all adults regardless of conviction status, who have been placed under the supervision of a probation agency as part of a court order. (Adults are persons subject to the jurisdiction of an adult court or correctional agency.)
- **INCLUDE** adult probationers legally your responsibility but supervised outside your jurisdiction, such as through an interstate compact agreement.
- **INCLUDE** adult probationers contracted out to private agencies.
- **INCLUDE** adult probationers on active supervision, including those who report electronically, or inactive supervision or in a residential/other treatment program but not in regular contact with a probation authority.
- **INCLUDE** absconders who have not been discharged from probation.
- **INCLUDE** adult probationers who may be under local jurisdiction but not reported separately by another agency.

### Who is not covered by this survey?

- **EXCLUDE** juveniles (persons under the jurisdiction of a juvenile court or agency).
- **EXCLUDE** informal cases such as bench or paper cases.
- **EXCLUDE** interstate compact cases supervised by your jurisdiction for another state.
- **EXCLUDE** adult probationers supervised by your jurisdiction but legally the responsibility of another jurisdiction.

### Burden Statement

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. Public reporting burden for this collection is estimated to average 1.5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any aspect of this collection of information, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531; and to the Office of Management and Budget, OMB No. 1121-0064, Washington, DC 20503.

### Instructions

- Please provide a response to each question. Blanks will be interpreted as "unknown" ("DK").
- If the answer to a question is "none" or "zero," write "0" in the space provided.
- If the answer to a question is "unknown," write "DK" in the space provided.
- If the answer to a question is "not applicable," write "NA" in the space provided.
- When an exact numeric answer is not available, provide an estimate and mark (X) in the box beside each figure. For example **1,000 X**.
Your agency’s adult probation population on December 31, 2019 .................................................
If this is incorrect, please update ...........................................................

1. On January 1, 2020, what was your agency’s adult probation population?
   Population on January 1, 2020 ...........................................................

2. Between January 1, 2020 and December 31, 2020, how many adults entered probation by —
   a. Probation without incarceration ....................................................
   b. Probation with incarceration ....................................................
   c. Other — Please describe ..........................................................
   d. Not known ............................................................................
   e. Total entries (Should equal the sum of items 2a through 2d.) ..........

3. Between January 1, 2020 and December 31, 2020, how many adult probationers were discharged from supervision for the following reasons —
   a. Completions ............................................................................
   b. Incarcerated —
      1) With new sentence .............................................................
      2) With revocation, without new sentence ................................
      3) To receive treatment ..........................................................
      4) Other — Please describe ....................................................
      5) Not known ..........................................................................
   c. Absconder ............................................................................... 
   d. Discharged to a warrant or detainer ...........................................
   e. Transferred to another probation agency ...................................
   f. Death ...................................................................................
   g. Other discharges — Please describe each
      1) Other completions ............................................................. 
      2) Unsatisfactory .....................................................................
      3) Other ................................................................................
   h. Not known ............................................................................... 
   i. Total discharges (Should equal the sum of items 3a through 3i.) ...

4. On December 31, 2020, what was your agency’s adult probation population? (Should equal Question 1 plus item 2e minus item 3i.)
   Population on December 31, 2020 ...................................................
5. Does the total population of adult probationers on December 31, 2020 (reported in Question 4), represent a count of probationers or cases? (Please mark (X) in one box.)
1. □ Probationers
2. □ Cases

6. On December 31, 2020, how many adult probationers in your jurisdiction were—
   a. Male
   b. Female
   c. Not known

7. On December 31, 2020, how many adult probationers in your jurisdiction were—
   a. White
   b. Black or African American
   c. Hispanic or Latino
   d. American Indian/Alaska Native
   e. Asian
   f. Native Hawaiian/Other Pacific Islander
   g. Two or more races
   h. Additional categories in your information system – Please describe
   i. Not known

8. On December 31, 2020, how many adult probationers had as their most serious offense—
   a. Felony
   b. Misdemeanor
   c. Other – Please describe
   d. Not known

9. Does your agency have responsibility for supervising felons?
   1. □ Yes
   2. □ No

10. On December 31, 2020 how many adult probationers (reported in Question 4) regardless of conviction status, had as their most serious offense—
   a. Domestic violence
   b. Sex offense
   c. Other violent offense
   d. Property offense
   e. Drug law violation
   f. Driving while intoxicated or under the influence of alcohol or drugs
   g. Other traffic offense
   h. Other offense – Please describe
   i. Not known
   j. Total

11. On December 31, 2020, were any adult probationers legally your responsibility being supervised by another state through an interstate compact agreement?
   1. □ Yes —
      a. How many adult probationers legally your responsibility were supervised by another state? ........
      b. Are these probationers included in Question 4?............. 1 □ Yes 2 □ No
   2. □ No

12. Does the total probation population on December 31, 2020 (reported in Question 4), include interstate compact cases supervised by your agency for another state that could not be excluded from the total?
   1. □ Yes —
      a. How many adult probationers were being supervised by your agency for another state that could not be excluded from the total?.............
   2. □ No
13. Does the total probation population on December 31, 2020 (reported in Question 4), include any persons who were also under parole supervision, or who were held in jail, prison, a community-based correctional facility, or a U.S. Bureau of Immigration and Customs Enforcement (ICE) holding facility?

<table>
<thead>
<tr>
<th></th>
<th>Yes</th>
<th>No</th>
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<tbody>
<tr>
<td>a.</td>
<td>How many were also on parole supervision?</td>
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<tr>
<td>b.</td>
<td>How many were in local jails? (Include evening confinements.)</td>
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<td>c.</td>
<td>How many were in a state or federal prison?</td>
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<td>d.</td>
<td>How many were in a community-based correctional facility?</td>
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<td>e.</td>
<td>How many were in an ICE holding facility?</td>
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14. Of those on probation on December 31, 2020 (reported in Question 4), how many were placed on probation supervision through —

|   | a. Direct sentence to probation | b. Split sentence (Incarceration combined with probation) | c. Suspended execution of sentence to incarceration (Incarceration sentence imposed and then suspended) | d. Suspended imposition of sentence (Persons not fully adjudicated, e.g., probation before verdict.) | e. Other — Please describe | f. Not known | g. Total (Sum of items 14a through 14f should equal Question 4.) | | | | | | | | ❑ | ❑ | ❑ | ❑ | ❑ | ❑ | ❑ |

15. On December 31, 2020, how many adult probationers supervised by your agency had a status of —

- Exclude probationers supervised by your jurisdiction for another state through an interstate compact agreement or by your jurisdiction but legally the responsibility of another jurisdiction.

|   | a. Active (Those required to regularly contact a probation authority in person, by mail, by telephone, or electronically. Exclude those reported in items 15b-15g.) | b. In residential/other treatment program (Include those on probation who were reporting to a treatment program, but not in regular contact with a probation authority. Exclude those reported in items 15a and 15c-15g.) | c. Only have financial conditions remaining (Exclude those reported in items 15a, 15b, and 15d-15g.) | d. Inactive (Exclude those reported in items 15a-15c and 15e-15g.) | e. Absconder (Include those still on probation but who have failed to report and cannot be located. Exclude those reported in items 15a-15d, 15f, and 15g.) | f. Warrant status (Exclude those reported in items 15a-15e and 15g.) | g. Supervised out of jurisdiction (Include active and inactive probationers under your jurisdiction who are supervised by authorities of another jurisdiction. Exclude those reported in items 15a-15f, 15i.) | h. Other — Please describe | i. Not known | j. Total (Sum of items 15a through 15i should equal Question 4.) | | | | | | | | | | | ❑ | ❑ | ❑ | ❑ | ❑ | ❑ | ❑ | ❑ |

16. In response to a call by various stakeholders (e.g., practitioners, policymakers), BJS is considering a change to the Annual Probation Survey. Currently, the survey collects information on the total number of probationers—including both felons and misdemeanants—by type (e.g., gender, most serious offense). If the survey were revised so each question asked separately for the number of felons versus the number of misdemeanants by type, would your agency be able to report the numbers separately for felons and misdemeanants? Yes ❑ No ❑
Notes and comments – (Please attach additional notes if necessary. Please explain changes in how data are reported compared with last year.)

Race/ethnicity definitions for Question 7.

a. **White.** A person having origins in any of the original peoples of Europe, the Middle East, or North Africa.

b. **Black or African American.** A person having origins in any of the black racial groups of Africa.

c. **Hispanic or Latino.** A person of Cuban, Mexican, Puerto Rican, South or Central American, or other Spanish culture or origin, regardless of race.

d. **American Indian or Alaska Native.** A person having origins in any of the original peoples of North and South America (including Central America), and who maintains tribal affiliation or community attachment.

e. **Asian.** A person having origins in any of the original peoples of the Far East, Southeast Asia, or the Indian subcontinent including, for example, Cambodia, China, India, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand, and Vietnam.

f. **Native Hawaiian or Other Pacific Islander.** A person having origins in any of the original peoples of Hawaii, Guam, Samoa, or other Pacific Islands.